

h) USD 2,106,671 for the period from January 2022 to 31 December 2022

The amount for the past period (g) is adjusted to reflect the delivery reported for 2021.

The Contributing Agency will not be responsible for any financial commitment or expenditure made by the Recipient Agency that exceeds the budget for the Activities. The Recipient Agency will promptly advise the Contributing Agency any time when the Recipient Agency is aware that the budget to carry out these Activities is insufficient to fully implement the Activities in the manner set out in the present Agreement, including its Annex(es). The Contributing Agency will have no obligation to provide the Recipient Agency with any funds or to make any reimbursement for expenses incurred in excess of the total budget as set forth herein.

Section D. REPORTING

In addition to the Certified Annual and Certified Final Financial report, the Recipient Agency will submit to the Contributing Agency: a financial report following the IATI budget structure available as of 31st December 2022.

Section E. CONTRIBUTIONS

Schedule of payment:

| | | |
|---|------------|------------------|
| May 2014: | USD | 572,656 |
| June 2014: | USD | 9,726 |
| Upon signature of Amendment 2: | USD | 359,129 |
| Upon signature of Amendment 3: | USD | 435,131 |
| Upon signature of Amendment 4: | USD | 247,726 |
| Upon signature of Amendment 5: | USD | 677,838 |
| Upon signature of Amendment 6: | USD | 363,538 |
| Upon signature of Amendment 7: | USD | 869,019 |
| <u>Upon signature of Amendment 8:</u> | <u>USD</u> | <u>1,459,998</u> |
| <u>Upon submission of the</u> | | |
| <u>the final (Q4) financial report:</u> | <u>USD</u> | <u>364,871</u> |

The second tranche is requested to have the submission of the final 2021 Q4 financial report, allowing the 2021 close of the financial year (30 June 2022). Upon signature of Amendment 8 the amount of USD 1,459,998 will be transferred to UNDP. Upon submission of the final Q4 financial report where the UNDP cash balance of 2021 is finally determined, and subject to cash availability of the funds, the remaining of the funds will be transferred to UNDP (as the budget for January 2022-December 2022 is partly funded from roll over the funds from the past periods).

II. Annex B: Schedule of Payments is hereby deleted in its entirety. The schedule of payments will be contained in Section E, as set out above.


All other terms and conditions of the Agreement, except as amended herein, shall remain unchanged and shall continue in full force and effect.

The amendment will enter into effect upon signature by both parties.

IN WITNESS WHEREOF, the Contributing Agency and the Recipient Agency have signed this Amendment:

Signed:  04.03.2022

Freya von Groote
Director, Vienna Multi-Country Office
AUMCO, UNOPS Europe and Central Asia Region, ECR
On behalf of the United Nations Office for Project Services

Signed:  09-Mar-2022

Haoliang Xu
Assistant Administrator and Director
Bureau for Policy and Programme Support
On behalf of the United Nations Development Programme

| Results Framework Outcome | Results Framework Outputs | Activity Areas | Activities | Staff time | Budget owner | Secretariat Budget per activity (number only) | UNDP Budget for activity area (excluding personnel) | | | |
|---|---|---|---|---|--------------|---|---|-----------|--|--|
| Strategic Plan Objective 2: Promote the systematic use of IATI data by development and humanitarian actors | | | | | | | \$277,200 | | | |
| <i>2021 Workstreams 3 (Promote systematic data use) and 1 (Partner Country Support)</i> | | | | | | | | | | |
| RF Outcome 2: IATI data is systematically used by development and humanitarian actors for decision-making | Output 2.a IATI data is regularly accessed. Output 2.b Data literacy and capacity for data use of partner countries, publishers and CSOs is strengthened | Capacity building / literacy: Resources and direct support to all user groups to access, understand, analyse and use IATI data (3C) | <i>Raise awareness of and engagement with IATI data across stakeholder groups -- e.g. civil society, DPs, Government, etc, through regional workshops; Staff plus consultancy (links with Regional Meetings in Outreach workstream)</i> | | UNDP | \$37,200 | | | | |
| | | | <i>Create strategy to expand awareness and use among partner country civil society.</i> | x | UNDP | N/A | | | | |
| | | | <i>Ensuring new data portal can be used intuitively, self-service-style; Develop any training needed to support use of new data portal, covering access, understanding the data, and how to utilize the portal's functionality</i> | | UNDP | \$15,000 | \$67,200 | | | |
| | | | <i>Conduct and share data analysis (e.g. comparison of commitments vs spending for particular country) to demonstrate what is possible and what challenges remain.</i> | x | UNDP | N/A | | | | |
| | | | <i>Develop methodology for transforming IATI data in the new data portal (for all data fields that will be transformed to enable various reports / view of the data), incorporating learning from Country Development Finance data and other data access tools. Closely linked to line 30</i> | x | UNDP | \$15,000 | | | | |
| | | | <i>Implement strategy to bridge the gap between publisher practices and data use needs (see 2 above) through tools, standard or other solution)</i> | x | UNDP | N/A | | | | |
| | | | Replenish Data Use Fund (3E) Support partner country governments to access, understand, and analyze IATI data (1C1, 1D, 1E) | <i>See note below on general support to working groups</i> | | | | | | |
| | | | | <i>Improving accessibility and capacity to understand and analyze IATI data; Continue advice, training and capacity building.</i> | | UNDP | \$95,000 | | | |
| | | | | <i>Structured approach for country-level support; Build on progress with partner country engagement through dedicated in-person training with Governments</i> | | UNDP | \$50,000 | \$210,000 | | |
| | | | | <i>TBC Training of Trainers and follow-on support for building capacity at country level</i> | | UNDP | \$40,000 | | | |
| | <i>Develop strategy on AIMS integration - assess best approach to AIMS integration challenges, to include alternate solutions to integration.</i> | | UNDP | \$40,000 | | | | | | |
| | <i>Maintain, upgrade and promote use of CDFD: consider its position in the technical estate as new data portal develops</i> | | UNDP | \$25,000 | | | | | | |
| Strategic Plan Objective 1: Drive a significant improvement in the quality of data published to IATI | | | | | | | \$0 | | | |
| <i>2021 Workstream 2 (Improve the Quality of IATI Data)</i> | | | | | | | | | | |
| RF Outcome 1: Significant improvement in the quality of data published to IATI | Output 1.a Current and new publishers meet the highest standards of data quality through improved tools and guidance. | Engage with and support new and existing publishers to help them improve data quality (2A, 2B, 2F) | <i>Focus on a set of identified data quality issues and drive improvements through tool improvement, Standard updates, use of the Validator public API or other measures</i> | x | DI | \$67,000 | | | | |
| | | | <i>Advocate for timely publishing, e.g. to encourage near real-time data (links with outreach activities)</i> | | | | | | | |
| | | | <i>Provide support to new and existing publishers, especially those of strategic importance. (in line with outreach activities)</i> | | | | \$0 | | | |
| | <i>Support to existing publishers to improve their data</i> | | | | | | | | | |
| | <i>Build a stock of 'best practices' for different publisher types</i> | | x | | N/A | | | | | |
| | <i>Implement and incentivise use of the DQI</i> | | | DI | \$14,000 | | | | | |
| | <i>Implement feedback mechanisms across all tools (Catalpa report as reference)</i> | | | | \$0 | | | | | |

| Strategic Plan Objective 3: Strengthen the IATI Standard by reinvigorating its community of publishers and members | | | | | | | \$0 | |
|---|---|--|---|---|------|----------|-----------|-----|
| 2021 Workstream 4 (Consolidate Technical Core) | | | | | | | | |
| RF Outcome 1: Significant improvement in the quality of data published to IATI RF Outcome 2: IATI data is systematically used by development and humanitarian actors for decision-making | Output 1.a Current and new publishers meet the highest standards of data quality through improved tools and guidance. Output 1.b IATI Standard strengthened to improve data quality Output 2.a IATI data is regularly accessed. | Management of integrated platform (4.A.1, 4.A.3, 4.A.5, 4D) | Continue to develop the API Gateway, Datastore, Validator, technical products and other internal tools. | | DI | \$50,000 | | |
| | | | Develop Datastore Graphical User Interface (GUI) | x | | | \$0 | |
| | | | Develop 'one view' of all IATI data, i.e., ensuring IATI tools are consistent in the data they include | x | | | | |
| | | | Hosting and maintenance of the Registry, d-portal | | DI | \$65,000 | \$0 | |
| | | | Manage development of new technical tools (4.B, 4.C, 4.E) | Implement new publishing tool and ensure it helps drive improved DQ | x | UNOPS | \$100,000 | |
| | | | | Build DQI on unified platform | x | | \$0 | |
| | | | | Progress d-portal UX design project and budget for improvements/refactoring. Application of learning on data use needs (3B and SDL inputs) to inform development of tools and guidance (eg d-portal 2). | x | DI | \$75,000 | \$0 |
| | | | | Move towards a more unified user experience across IATI tools, ensuring tools are aligned and accessible via the IATI website. (Links with Comms Website project) | x | DI | \$0 | |
| | | | Outsourced developer capacity as needed (4.A.6) | Add any indicative requirements here if known. | | DI | \$0 | |
| | | | Management of the IATI Standard, in line with RF output 'standardised standard developed, agreed and implemented' and 5 year plan | Consider / gather publisher and user needs for driving Standard improvements | x | DI | \$0 | |
| Strategic Plan Objective 3: Strengthen the IATI Standard by reinvigorating its community of publishers and members | | | | | | | \$202,500 | |
| 2021 Workstream 5 Community | | | | | | | | |
| RF Outcome 3: The IATI Community of members, data users and publishers are increasingly | Output 3.b Expanded awareness of IATI and its data | Strengthen and grow the IATI community, including increasing diversity of stakeholders (includes Community events both virtual and hybrid (5C1, 5C2, 5D)(7A, 7C, 7E) | Includes community manager and engagement strategy / calendar | | UNDP | \$95,000 | | |
| | | | Expand and strengthen all communities through increased Secretariat engagement/leadership and better content and incentives for engagement. | | UNDP | \$0 | \$95,000 | |
| | | | Bring community together via in-person and online community events | | UNDP | \$0 | | |
| | | | Encourage wider diversity of voices in the community, through analysing current practice and targeting engagement | | UNDP | \$0 | | |

| | | | | | | |
|--|---|--|---|--------------------|-----------------|------------------|
| engaged to maximise impact | | Build IATI Connect digital platform as a key community resource (5B) | <i>Implement IATI connect Roadmap that improves platform's usability and visibility across IATI digital infrastructure and throughout user journey, as well as concrete outreach / marketing activities to help engage underrepresented groups; Hosting, maintenance and development of IATI Connect for eg consultations and events (5E)</i> | UNDP | \$42,500 | \$42,500 |
| | | Establish an e-Learning Strategy and launch / IATI Academy module within IATI Connect | <i>Include Phase 2 (implementation) CSO training course to increase data use and data literacy</i> | UNDP | \$65,000 | \$65,000 |
| Strategic Plan Cross-cutting Areas: Communications and user experience; Outreach and engagement; Institutional arrangements; Administration and | | | | | | \$437,550 |
| Communications and user experience (2021 Workstream 6 (Communications and user experience)) | | | | | | \$125,000 |
| | | Business as usual communications e.g. newsletters, website, etc. (6A1, 6A2, 6A3, 6A4, 6C3) | <i>Tailored comms, community and engagement plans for Data Use, Data Quality and Tech. They will outline what we expect from each target audience throughout the year and how we want them to interact with the initiative (e.g. through Connect, through webinars, etc).</i> | UNDP | \$15,000 | \$15,000 |
| | | Annual report 2021 (6B) | | UNDP | \$15,000 | \$15,000 |
| | | IATI Website User Experience review (Q4 2021). (6C4, 6C5) | <i>Test and implement proposed website changes (as identified in Q4 2021). Document step-by-step user journeys of publishers and users, to inform new upgrade of website.</i> | UNDP | \$60,000 | \$60,000 |
| | | New communications activities for 2022 | <i>Comms plan for possible Standard upgrade; Develop support package for new publishers (links with DQ engagement activity)</i> | Staff only UNDP | \$15,000 | \$20,000 |
| | | | <i>User-centred and simplified communication; Create and implement a communications strategy for the DQI with publishers;</i> | Staff only UNDP | \$5,000 | |
| | | Data Use Casestudies | <i>Consultant to create up to 10 data use casestudy (factsheets/videos) - using examples presented over the last 2 years (e.g. from the VCEs)</i> | UNDP | \$15,000 | \$15,000 |
| Outreach and engagement (2021 Workstream 7 (Outreach and engagement)) | | | | | | \$213,000 |
| | | Increased engagement with existing members (includes Community events both virtual and hybrid (5C1, 5C2, 5D)(7A, 7C, 7E), international fora and relevant networks | <i>Prioritise key stakeholder groups to engage (considering humanitarian, private sector, SSC, other); Ensure there is an IATI representative in attendance at all major effectiveness, transparency, and open data events and encourage participation / speaking roles by Governing Board members or other IATI representatives.</i> | x UNOPS | \$0 \$10,000 | \$3,000 |
| | Output 1.a Current and new publishers meet the highest standards of data quality through improved tools and guidance. | | <i>Trial quarterly thematic round tables ("IATI Talks") to demonstrate data use cases and increase overall awareness of IATI in the international community (note: links with the Community portfolio).</i> | UNOPS | \$8,000 | |
| | Output 1.b IATI Standard strengthened to improve data quality | | <i>Increase engagement and awareness-building with the humanitarian community. Work with Secretariat to better define value proposition for humanitarian stakeholders and better understand barriers for humanitarian community; Refine external messaging and develop new outreach materials. Increase engagement with humanitarian networks for better "bang for our buck" (e.g. Grand Bargain 2, Humanitarian AI, Humanitarian Network and Partnerships Week, etc.).</i> | UNDP | \$3,000 | |
| | Output 2.a IATI data is | | | | | |

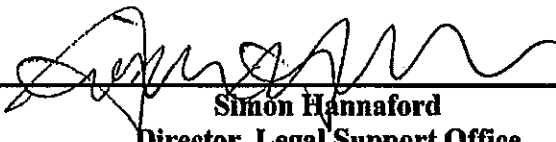
| | | | | | | | | |
|---|--|---|---|--------------------------|-----------|------------------|--------------------|----------|
| Cross-cutting | regularly accessed. | Focus strategic outreach and engagement to enable improvements to data coverage; Advocacy for attracting new members / publishers (7.H, 7G) | <i>International conferences</i> <i>Target engagement to potential new publishers with greatest possible impact. Develop methodology (in consultation with Secretariat) for determining priority new publishers (e.g. DFIs, philanthropy, private sector, missing bilaterals / multilaterals with added value, etc.). Undertake an analysis of private sector publishing and based on the outcome, develop and implement targeted outreach strategy for new publishers to increase coverage. Regional thematic meetings Asia, Africa, Latin America (links with Data Use workstream)</i> | x | UNDP | \$210,000 | | |
| | Output 2.b Data literacy and capacity for data use of partner countries, publishers and CSOs is strengthened | | | | | | | |
| | Output 3.a A larger, more diverse IATI membership is created | | | | | | | |
| | Output 3.b Expanded awareness of IATI and its data | | | x | | | \$210,000 | |
| | Use the Data Quality Index as a tool to incentivize data quality from political levels. | | <i>High-level launch event . Embed messaging on DQI into relevant international fora / events (e.g. OECD-DAC, UNTTF, Bern Network). Highlight "publisher improvement journeys" (working with the Comms workstream). High-level outreach to key publishers with targeted asks (based on substantive DQ work and agreement on priority publishers).</i> | | | | | |
| | Promote interoperability through joint discussions with other data standards and initiatives (2H, 7F) | | <i>Shifted from DQ as ongoing engagement activity</i> | | | | | |
| | Institutional arrangements (2021 Workstream 8 (Institutional arrangements)) | | | | | | \$55,800 | |
| | Support to MA and Board | | <i>Elections, meetings, logistics and travel for meetings, agendas, financial updates, position papers, minutes, presentations, SOP review and update; (7I speaking and engagement training); staff plus consultancy</i> | | UNOPS | \$7,000 | | \$0 |
| | Working Groups management and administration | | <i>TORs, Expressions of interest and evaluation of submissions, secretariat support</i> <i>Includes support to DUWG (NB not DUF which remains with data use); Staff plus consultancy</i> <i>Implement necessary changes to WGs based on 2021 Board review of existing WG structure</i> | x | UNDP | \$55,800 | | \$55,800 |
| | Administration and enabling actions (New workstream) | | | | | | \$43,750 | |
| 8D travel, 8G Training, 8H,I,F | | <i>Subscriptions (project management and comms)</i> | | UNDP | \$3,750 | | | |
| Financial management, 8J | | <i>Translations (documents, tools, interfaces, guidance, etc), Stimulations interpretation, Design</i> | | UNOPS | \$100,000 | | | |
| Operational & logistic support, 8K | | <i>Negotiation of membership contribution agreements; receipt and management of contributions, and record-keeping.</i> | | UNOPS | \$10,239 | | \$43,750 | |
| Subscriptions, 8L Workshop facilitation, 8B Monitoring RF, translations, interpretation; | | <i>Secretariat travel</i> | | UNOPS/DI/ UNDP | \$65,000 | | | |
| 6.C.1: Website: Ongoing translation of website into French | | <i>Secretariat Senior Advisor P/T consultancy'</i> | | UNDP | \$10,000 | | | |
| 6.C.6: Translation of documents (includes Members' Update, Members Assembly meeting documents, updated SOP translation) into French and Spanish | | | | | | | | |
| Activity total | | | | | | \$917,250 | | |
| Total Secretariat personnel | | | | | | | \$1,033,371 | |
| Management fees | | | | | | | \$156,050 | |
| | | | | | | \$156,050 | | |
| | | | | | | \$73,956 | \$156,050 | |
| | | | | | | \$33,787 | | |
| Total personnel in kind contribution | | | | | | | \$324,412 | |

| | | |
|--|--|--------------------|
| | TOTAL with in-kind contribution | \$2,431,083 |
| | GRAND TOTAL (net budget) | \$2,106,671 |
| | TOTAL annual budget with contingency reserve | \$2,106,671 |

**CLEARANCE SLIP FOR COST SHARING AGREEMENT/
TRUST FUND
CONTRIBUTION AGREEMENT BETWEEN UNOPS
AND UNDP**



Empowered lives.
Resilient nations.

| | |
|---|---|
| Agreement/Trust Fund title: | UNDP/UNOPS Agreement on IATI |
| Project No: | AITI project |
| Project Title: | International Aid Transparency Initiative (IATI) |
| Country Office/HQ Business unit: | HQ |
| Regional Bureau: | NA |
| Donor: | UNOPS (AS COORDINATION OF OTHER DONORS) |
| Total amount of the Agreement: | 846,595 |
| Document Received on: | 8 April 2014 - ORIGINALLY SET IN FEB 2014 |
| Reviewed by | Claudio Lema Pose |
| Consulted | |
| Comments/Additional information | Annexes "A" and "B" shall be an integral part of this clearance and be kept on the file. 6 pages agreement. |
| Cleared by: |  Simon Hannaford Director, Legal Support Office |
| Date of clearance: | 09 APRIL 2014 |
| Cleared with conditions: | |

UN TO UN AGENCY CONTRIBUTION AGREEMENT

A. SUMMARY OF ACTIVITIES

Title: Hosting of the International Aid Transparency Initiative (IATI) Secretariat (the "Activities")

Start/End Dates: Activities start date: September 1st 2013
Activities end date: August 31st 2016

Location: Global

Contribution Amount Year 1: USD 582,382 (the "Contribution")

Contributing Agency: United Nations Office for Project Services ("UNOPS")

Recipient Agency: United Nations Development Programme ("UNDP")

Nature of Activities: Coordination of IATI Secretariat. Support to IATI Steering Committee. Policy, communications and outreach support to the implementation of the International Aid Transparency Initiative.

Purpose: Support the implementation of the International Aid Transparency Initiative, focused on: i) making development cooperation spending information easy to access, use and understand; ii) increasing and diversifying IATI membership; iii) sharing IATI's objectives without conflicts of interest nor duplication of effort with other development cooperation transparency initiatives. UNDP's own roles and responsibilities within IATI Secretariat include i) fostering the use of the IATI standard and its update, and supporting the negotiation of the Common Standard; ii) communications and outreach to (new) members; iii) support to IATI Steering Committee and overall coordination of IATI Secretariat.

Annexes:

In the event that the terms contained in Annex(es) are incompatible with those contained in this Agreement, then the latter shall govern and prevail.

Annex A: Proposal to host IATI Secretariat dated 7 February 2013.
Annex B: Schedule of payments

Reference documents (known to both parties and therefore not annexed here)

- Letter from DFID to the Consortium seeking clarifications on its hosting submission;
- Letter from the Consortium to DFID in response, and formal acceptance to host IATI;
- IATI Standard Operating Procedures document SOP available here:
<http://www.aidtransparency.net/governance/steering-committee/steering-committee-documents>

10 June 2014

The Recipient Agency will be fully responsible for administering the Contribution in accordance with its financial regulations, rules, policies and procedures, and administrative instructions, and carrying out the Activities efficiently and effectively.

B. BUDGET

UNDP's budget for the first 12 months (Q4, 2013, Q1-3 2014) amounts to USD 582,382. Sums totaling this amount shall be transferred following a schedule of payments set out in Annex B, according to availability of resources as further detailed below in Section F - Contributions. Subsequent years contributions will be determined based on approval by IATI Steering Committee.

The Contributing Agency will not be responsible for any financial commitment or expenditure made by the Recipient Agency that exceeds the budget for the Activities. The Recipient Agency will promptly advise the Contributing Agency any time when the Recipient Agency is aware that the budget to carry out these Activities is insufficient to fully implement the Activities in the manner set out in the present Agreement, including its Annex(es). The Contributing Agency will have no obligation to provide the Recipient Agency with any funds or to make any reimbursement for expenses incurred in excess of the total budget as set forth herein.

C. COSTS RECOVERY

The Recipient Agency's support costs, determined in accordance with its cost recovery policy, will be paid from the Contribution, in accordance with the budget. In accordance with the decisions, policies and procedures of UNDP's Executive Board reflected in its Policy on Cost Recovery from Other Resources, the Contribution shall be subject to cost recovery for indirect costs incurred by UNDP headquarters and country office structures in providing General Management Support (GMS) services. To cover these GMS costs, the Contribution shall be charged a fee equal to 8%. Furthermore, as long as they are unequivocally linked to the Project, all direct costs of implementation, including the costs of Executing Entity or Implementing Partner, will be identified in the Programme/Project budget against a relevant budget line and borne by the Programme/Project accordingly.

D. REPORTING

Narrative reporting:

The Recipient Agency will provide the Contributing Agency with a narrative report on the progress of the Activities on a regular basis, as set out below.

- Annually by 30th June of the following year

Financial Reporting:

The Recipient Agency will provide the Contributing Agency with the following financial reports, prepared in accordance with the Recipient Agency's financial regulations, rules, policies, procedures, and administrative instructions:

- Annual financial report available by latest on 30 June of the following year;
- Final financial report available by latest on 31 July 2017



10 June 2014

E. CONTRIBUTIONS

The total amounts paid by the Contributing Agency shall match the total budget amount. For Activities less than one year in duration the Contribution will be paid to the Recipient Agency prior to the commencement of Activities. For multi-year Activities the Contribution will be paid in instalments quarterly in advance according to the schedule at Annex B, which may be adjusted based on availability of resources.

The Contributing Agency acknowledges that the Recipient Agency will not pre-finance Activities. If the Contribution, or any part of it, is not received in a timely manner, the Activities may be reduced or suspended by the Recipient Agency with immediate effect. This agreement does nonetheless allow for adjustments to be made based on availability of resources and decisions within the IATI Secretariat upon work plan priorities. Any such adjustments will be discussed by the two Agencies, recorded in writing and agreed no later than the end of the previous quarter.

The Contribution will be paid into the following account:

Bank: JPMorgan Chase Bank
Address: 270 Park Avenue, 43rd Floor
New York, New York 10017
United States of America
Account: UNDP Contributions (USD) Account
Account Number: 015002284
SWIFT Code: CHASUS33
ABA Number: 021000021

When making such transfers the Contributing Agency will notify the Recipient Agency, through email addressed to contributions@undp.org with copy to annelise.parr@undp.org, the following details: (a) the amount transferred; (b) the value date of the transfer; (c) that the transfer is from the Contributing Agency pursuant to this Agreement.

F. INTELLECTUAL PROPERTY RIGHTS

All Intellectual Property Rights related to the Activities will belong to the Recipient Agency. The Contributing Agency and, if applicable, the relevant programme Government will enjoy a perpetual, royalty-free, non-exclusive and non-transferable license.

G. CORRESPONDENCE

All correspondence regarding the implementation of this Agreement will be addressed to:

United Nations Office for Project Services

Address: Argjira Belegu-Shuku
Project Manager, GPSO IWC
Marmorvej 51, PO Box 2695
2100, Copenhagen, Denmark



10 June 2014

United Nations Development Programme

Address: Annelise Parr, Coordinator, IATI Secretariat
Bureau for Development Policy / Knowledge, Innovation and Capacity Group
304 East, 45th Street, 16th Floor.
New York, NY, USA. 10017

H. AMENDMENTS

The present Agreement, including its Annex(es), may be modified or amended only by written agreement between the two Agencies.

I. COMPLETION OF THE ACTIVITIES

The Recipient Agency will notify the Contributing Agency when all Activities have been completed.

The Recipient Agency will continue to hold any part of the Contribution that is unutilized at completion of the Activities until all commitments and liabilities incurred in the carrying out of the Activities have been satisfied and all arrangements associated with the Activities have been brought to an orderly conclusion.

J. TERMINATION OF THIS AGREEMENT

This Agreement will terminate upon satisfaction of all commitments and liabilities incurred in carrying out the Activities and the orderly conclusion of all arrangements associated with the Activities.

This Agreement may be terminated by either Agency at any time by written notice to the other. Termination will be effective thirty (30) days after receipt of the notice. In the event of termination under this paragraph, the two Agencies will cooperate to ensure completion of the Activities, satisfaction of all commitments and liabilities, and the orderly conclusion of all arrangements associated with the Activities.

K. REFUNDS OF UNSPENT BALANCES

Upon termination of this Agreement and following the submission of the final financial report, any unspent balance below 1,000 USD (one thousand US Dollars) that remain unexpended after all commitments and liabilities have been satisfied shall be automatically reallocated by UNDP. Any funds above 1,000 USD (one thousand US Dollars) that remain unexpended after all commitments and liabilities have been satisfied shall be reallocated by UNDP after consultation between the two agencies.



10 June 2014

L. SETTLEMENT OF DISPUTES

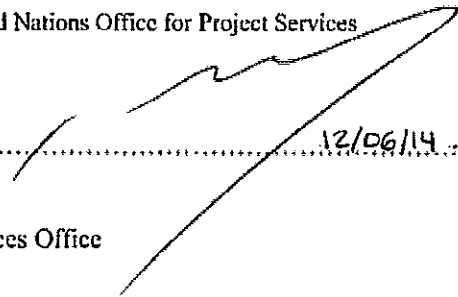
The two Agencies will use their best efforts to promptly settle through direct negotiations any dispute, controversy or claim arising out of or in connection with this Agreement or any breach thereof. Any such dispute, controversy or claim which is not settled within sixty (60) days from the date either party has notified the other party of the nature of the dispute, controversy or claim and of the measures which should be taken to rectify it, will be resolved through consultation between the Executive Heads of each of the Agencies.

M. ENTRY INTO FORCE AND VALIDITY

This Agreement will enter into force upon its signature by the authorized representatives of the Parties and remain in force until terminated in accordance with Section J above.


IN WITNESS WHEREOF, the undersigned, being duly authorized thereto, have signed the present Agreement in duplicate.

On behalf of the United Nations Office for Project Services

Signature and Date.....  12/06/14

Maria-Noel VAEZA
Director GPSO
Global Partner Services Office

On behalf of the United Nations Development Programme

Signature and Date.....  10 June 2014

p.p. Niloy Banerjee
Director, ii.
Knowledge, Innovation & Capacity Group
Bureau for Development Policy,

10 June 2014

Annex B

| Payment due | Disbursement (in USD) |
|--------------|-----------------------|
| 16 May 2014 | 572,656 |
| 13 June 2014 | 9,726 |
| Total | 582,382 |



10 June 2014

UN AGENCY TO UN AGENCY CONTRIBUTION AGREEMENT

Amendment No. 1

Reference is made to the Contribution Agreement (hereinafter "Agreement") dated 12 June 2014 signed between UNOPS ("Contributing Agency"), and United Nations Development Programme – UNDP ("Recipient Agency"), with respect to the IATI activities ("Activities");

WHEREAS the Parties now wish to amend the said Agreement in order to extend its duration;

NOW THEREFORE, and in accordance with Section B of the Agreement, the relevant Agreement provisions indicated below are hereby amended or newly introduced with following reading:

I. The relevant parts of the following Sections are amended as follows:

Section B. BUDGET

"UNDP's budget for the first 24 months (Q4, 2013 to Q3, 2015) amounts to USD 582,382."

The Contributing Agency will not be responsible for any financial commitment or expenditure made by the Recipient Agency that exceeds the budget for the Activities. The Recipient Agency will promptly advise the Contributing Agency any time when the Recipient Agency is aware that the budget to carry out these Activities is insufficient to fully implement the Activities in the manner set out in the present Agreement, including its Annex(es). The Contributing Agency will have no obligation to provide the Recipient Agency with any funds or to make any reimbursement for expenses incurred in excess of the total budget as set forth herein.

Annex B Schedule of payment:

The payment schedule remains the same, notwithstanding the extension of the budget period in Section B.

All other terms and conditions of the Agreement, except as amended herein, shall remain unchanged and shall continue in full force and effect. The amendment will enter into effect upon signature by both parties.

IN WITNESS WHEREOF, the Contributing Agency and the Recipient Agency have signed this Amendment:

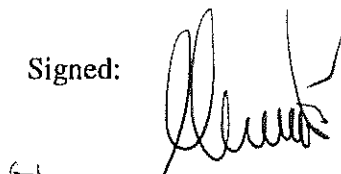
Signed:



Robert Godin
Management and Oversight Advisor
ECR- Europe & Central Asia Region

On behalf of the United Nations Office for Project Services

Signed:



Magdy Martínez-Solimán
Assistant Administrator and Director
Bureau for Policy and Programme Support

On behalf of the United Nations Development Programme



UN AGENCY TO UN AGENCY CONTRIBUTION AGREEMENT

Amendment No. 2

Reference is made to the Contribution Agreement (hereinafter "Agreement") dated 12 June 2014 signed between UNOPS ("Contributing Agency"), and United Nations Development Programme – UNDP ("Recipient Agency"), with respect to the IATI activities ("Activities");

WHEREAS the Parties now wish to amend the said Agreement in order to revise the budget;

NOW THEREFORE, and in accordance with Section B of the Agreement, the relevant Agreement provisions indicated below are hereby amended or newly introduced with following reading:

I. The relevant parts of the following Sections are amended as follows:

Section B. BUDGET

The total budget for the Activities is USD 941,511, shall be provided as follows:

- a) USD 582,382 for the period from 3 September 2013 to 2 September 2015; and
- b) USD 359,129 for the period from 3 September 2015 to 2 September 2016.

The Contributing Agency will not be responsible for any financial commitment or expenditure made by the Recipient Agency that exceeds the budget for the Activities. The Recipient Agency will promptly advise the Contributing Agency any time when the Recipient Agency is aware that the budget to carry out these Activities is insufficient to fully implement the Activities in the manner set out in the present Agreement, including its Annex(es). The Contributing Agency will have no obligation to provide the Recipient Agency with any funds or to make any reimbursement for expenses incurred in excess of the total budget as set forth herein.

Section D. REPORTING

In addition to the Certified Annual and Certified Final Financial report, the Recipient Agency will provide the Contributing Agency the

- Financial report following the IATI budget structure available as of 30th September 2016.

Section E. CONTRIBUTIONS

Schedule of payment:

May 2014: USD 572,656
June 2014: USD 9,726
Upon signature of Amendment 2: USD 359,129

All other terms and conditions of the Agreement, except as amended herein, shall remain unchanged and shall continue in full force and effect. The amendment will enter into effect upon signature by both parties.

IN WITNESS WHEREOF, the Contributing Agency and the Recipient Agency have signed this Amendment:

Signed:



for

Moin Karim
Regional Director Europe and CIS

On behalf of the United Nations Office for Project Services

Signed:



Magdy Martínez-Solimán
Assistant Administrator and Director
Bureau for Policy and Programme Support

On behalf of the United Nations Development Programme



UN AGENCY TO UN AGENCY CONTRIBUTION AGREEMENT

Amendment No. 3

Reference is made to the Contribution Agreement (hereinafter "Agreement") dated 12 June 2014 signed between UNOPS ("Contributing Agency"), and United Nations Development Programme – UNDP ("Recipient Agency"), with respect to the IATI activities ("Activities");

WHEREAS the Parties now wish to amend the said Agreement in order to revise the budget;

NOW THEREFORE, and in accordance with Section B of the Agreement, the relevant Agreement provisions indicated below are hereby amended or newly introduced with following reading:

I. The relevant parts of the following Sections are amended as follows:

Section B. BUDGET

The total budget for the Activities of USD 1,376,642 shall be provided as follows:

- a) USD 582,382 for the period from 3 September 2013 to 2 September 2015;
- b) USD 359,129 for the period from 3 September 2015 to 2 September 2016; and
- c) USD 435,131 for the period from 3 September 2016 to 2 September 2017.

The Contributing Agency will not be responsible for any financial commitment or expenditure made by the Recipient Agency that exceeds the budget for the Activities. The Recipient Agency will promptly advise the Contributing Agency any time when the Recipient Agency is aware that the budget to carry out these Activities is insufficient to fully implement the Activities in the manner set out in the present Agreement, including its Annex(es). The Contributing Agency will have no obligation to provide the Recipient Agency with any funds or to make any reimbursement for expenses incurred in excess of the total budget as set forth herein.

Section D. REPORTING

In addition to the Certified Annual and Certified Final Financial report, the Recipient Agency will provide the Contributing Agency the

- Financial report following the IATI budget structure available as of 30th September 2017.



Section E. CONTRIBUTIONS

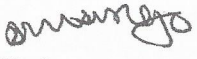
Schedule of payment:

| | |
|--------------------------------|-------------|
| May 2014: | USD 572,656 |
| June 2014: | USD 9,726 |
| Upon signature of Amendment 2: | USD 359,129 |
| Upon signature of Amendment 3: | USD 435,131 |

All other terms and conditions of the Agreement, except as amended herein, shall remain unchanged and shall continue in full force and effect. The amendment will enter into effect upon signature by both parties.

IN WITNESS WHEREOF, the Contributing Agency and the Recipient Agency have signed this Amendment:

Signed:


Moin Karim
Regional Director Europe and CIS

On behalf of the United Nations Office for Project Services

Signed: 

Magdy Martínez-Solimán
Assistant Administrator and Director
Bureau for Policy and Programme Support

On behalf of the United Nations Development Programme

NSP

UN AGENCY TO UN AGENCY CONTRIBUTION AGREEMENT

Amendment No. 4

Reference is made to the Contribution Agreement (hereinafter "Agreement") dated 12 June 2014 signed between UNOPS ("Contributing Agency"), and United Nations Development Programme – UNDP ("Recipient Agency"), with respect to the IATI activities ("Activities");

WHEREAS the Parties now wish to amend the said Agreement in order to revise the budget;

NOW THEREFORE, and in accordance with Section B of the Agreement, the relevant Agreement provisions indicated below are hereby amended or newly introduced with following reading:

I. The relevant parts of the following Sections are amended as follows:

Section B. BUDGET

The total budget for the Activities is USD 1,624,368 shall be provided as follows:

- a) USD 239,987 for the period from 3 September 2013 to 2 September 2015
- b) USD 437,830 for the period from 3 September 2015 to 2 September 2016
- c) USD 151,770 for the period from 3 September 2016 to 2 September 2017
- d) USD 794,781 for the period from 3 September 2017 to 2 September 2018

The amounts for the past periods are adjusted to reflect the delivery reported for 2013-2017 (a-c)..

The Contributing Agency will not be responsible for any financial commitment or expenditure made by the Recipient Agency that exceeds the budget for the Activities. The Recipient Agency will promptly advise the Contributing Agency any time when the Recipient Agency is aware that the budget to carry out these Activities is insufficient to fully implement the Activities in the manner set out in the present Agreement, including its Annex(es). The Contributing Agency will have no obligation to provide the Recipient Agency with any funds or to make any reimbursement for expenses incurred in excess of the total budget as set forth herein.

Section D. REPORTING

In addition to the Certified Annual and Certified Final Financial report, the Recipient Agency will provide the Contributing Agency the

- Financial report following the IATI budget structure available as of 30th September 2018.

Section E. CONTRIBUTIONS

Schedule of payment:

| | |
|--------------------------------|-------------|
| May 2014: | USD 572,656 |
| June 2014: | USD 9,726 |
| Upon signature of Amendment 2: | USD 359,129 |
| Upon signature of Amendment 3: | USD 435,131 |
| Upon signature of Amendment 4: | USD 247,726 |

Upon signature of Amendment 4 the amount of USD 247,726 will be transferred, as the budget for September 2017-September 2018 is partly funded from roll over the funds from the past periods.

All other terms and conditions of the Agreement, except as amended herein, shall remain unchanged and shall continue in full force and effect. The amendment will enter into effect upon signature by both parties.

IN WITNESS WHEREOF, the Contributing Agency and the Recipient Agency have signed this Amendment:

Signed:



Peter Onsongo
Regional Management and Oversight Advisor

On behalf of the United Nations Office for Project Services

Signed:



Douglas Keh
Deputy Director a.i. Bureau for Policy and Programme Support

On behalf of the United Nations Development Programme

UN AGENCY TO UN AGENCY CONTRIBUTION AGREEMENT

Amendment No. 5

Reference is made to the Contribution Agreement (hereinafter "Agreement") dated 12 June 2014 signed between UNOPS ("Contributing Agency"), and United Nations Development Programme – UNDP ("Recipient Agency"), with respect to the IATI activities ("Activities");

WHEREAS the Parties now wish to amend the said Agreement in order to revise the budget;

NOW THEREFORE, and in accordance with Section B of the Agreement, the relevant Agreement provisions indicated below are hereby amended or newly introduced with following reading:

I. The relevant parts of the following Sections are amended as follows:

Section B. BUDGET

The total budget for the Activities is USD 2,302,206 shall be provided as follows:

- a) USD 239,987 for the period from 3 September 2013 to 2 September 2015
- b) USD 437,830 for the period from 3 September 2015 to 2 September 2016
- c) USD 151,770 for the period from 3 September 2016 to 2 September 2017
- d) USD 184,651 for the period from 3 September 2017 to 2 September 2018
- e) USD 1,287,968 for the period from 3 September 2018 to 31 December 2019

The amount for the past period is adjusted to reflect the delivery reported for 2017-2018 (d).

The Contributing Agency will not be responsible for any financial commitment or expenditure made by the Recipient Agency that exceeds the budget for the Activities. The Recipient Agency will promptly advise the Contributing Agency any time when the Recipient Agency is aware that the budget to carry out these Activities is insufficient to fully implement the Activities in the manner set out in the present Agreement, including its Annex(es). The Contributing Agency will have no obligation to provide the Recipient Agency with any funds or to make any reimbursement for expenses incurred in excess of the total budget as set forth herein.

Section D. REPORTING

In addition to the Certified Annual and Certified Final Financial report, the Recipient Agency will provide the Contributing Agency the

- Financial report following the IATI budget structure available as of 31st December 2019.

Section E. CONTRIBUTIONS

Schedule of payment:

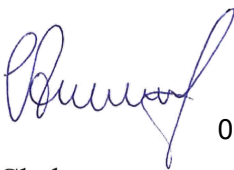
| | |
|--------------------------------|-------------|
| May 2014: | USD 572,656 |
| June 2014: | USD 9,726 |
| Upon signature of Amendment 2: | USD 359,129 |
| Upon signature of Amendment 3: | USD 435,131 |
| Upon signature of Amendment 4: | USD 247,726 |
| Upon signature of Amendment 5: | USD 677,838 |

Upon signature of Amendment 5 the amount of USD 677,838 will be transferred, as the budget for September 2018-December 2019 is partly funded from roll over the funds from the past periods.

All other terms and conditions of the Agreement, except as amended herein, shall remain unchanged and shall continue in full force and effect. The amendment will enter into effect upon signature by both parties.

IN WITNESS WHEREOF, the Contributing Agency and the Recipient Agency have signed this Amendment:

Signed:

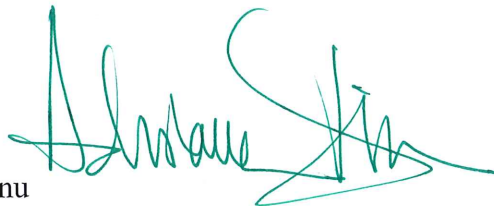


03 April 2019

Armen Chobanyan
Head of Programme
EOC, UNOPS Europe and Central Asia Region, ECR

On behalf of the United Nations Office for Project Services

Signed:



Adriana Dinu
Deputy Assistant Administrator and Deputy Director
Bureau for Policy and Programme Support

On behalf of the United Nations Development Programme

the budget to carry out these Activities is insufficient to fully implement the Activities in the manner set out in the present Agreement, including its Annex(es). The Contributing Agency will have no obligation to provide the Recipient Agency with any funds or to make any reimbursement for expenses incurred in excess of the total budget as set forth herein.

Section D. REPORTING

In addition to the Certified Annual and Certified Final Financial report, the Recipient Agency will provide the Contributing Agency the

- Financial report following the IATI budget structure available as of 31st December 2020.

Section E. CONTRIBUTIONS

Schedule of payment:

| | |
|---------------------------------------|--------------------|
| May 2014: | USD 572,656 |
| June 2014: | USD 9,726 |
| Upon signature of Amendment 2: | USD 359,129 |
| Upon signature of Amendment 3: | USD 435,131 |
| Upon signature of Amendment 4: | USD 247,726 |
| Upon signature of Amendment 5: | USD 677,838 |
| <u>Upon signature of Amendment 6:</u> | <u>USD 363,538</u> |

Upon signature of Amendment 6 the amount of USD 363,538 will be transferred, as the budget for January 2020-December 2020 is partly funded from roll over the funds from the past periods.

II. Annex B: Schedule of Payments is hereby deleted in its entirety. The schedule of payments will be contained in Section E.

All other terms and conditions of the Agreement, except as amended herein, shall remain unchanged and shall continue in full force and effect. The amendment will enter into effect upon signature by both parties.

IN WITNESS WHEREOF, the Contributing Agency and the Recipient Agency have signed this Amendment:


Signed:

Freya von Groote

Director, Vienna Multi-Country Office

VIEMCO, UNOPS Europe and Central Asia Region, ECR

On behalf of the United Nations Office for Project Services



07/10/2020

Signed:

Adriana Dinu

Adriana Dinu
Deputy Assistant Administrator and Deputy Director
Bureau for Policy and Programme Support
On behalf of the United Nations Development Programme

| January - December 2020 - UNDP IATI Y7 budget | | Annual Activity Budget Jan-Dec 2020 | | | | |
|---|--|---|--------------------|--------------------|--------------------|--------------------|
| Summary data field / SP link | Output 2020 | Activity detail | Q1 Jan-Mar 2020 | Q2 Apr-Jun 2020 | Q3 Jul-Sep 2020 | Q4 Oct-Dec 2020 |
| 1. Partner country analysis and support | | | \$0 | \$10,000 | \$10,000 | \$10,000 |
| | Deep-dive country analysis to better understand the collection, management, and use of development finance information at the national level to inform how the Standard should be changed and how partner country data needs can be better met in order to enable greater IATI data use at the national level. | 1A. Country analysis - data user needs 1A.1 Four one-week country missions to map the processes that partner country governments use for collecting, managing, and using external development finance data; assess data needs and data gaps, and ground truth findings of IATI/AIMS comparison | \$0 | \$10,000 | \$10,000 | \$10,000 |
| | | 1B. Country analysis - national systems and planning processes | | | | |
| | Preparatory analysis to feed into standardization of the Standard and better understand the use of IATI data in regard to national information systems and planning processes. | 1B.1 Desk review to compare AIMS data and IATI data for multiple partner countries to assess difference in scope of fields, definitions, financial year/data, etc. | \$0 | \$0 | \$0 | \$0 |
| | | 1B.2 Conduct outreach to multiple partner countries to assess key process and data use questions including - scope of data sources for development finance information, primary uses of development finance information (e.g. to inform budget planning processes, national development and role/status of AIMS and availability of guidance documentation) | \$0 | \$0 | \$0 | \$0 |
| 2 Improve the Quality of IATI Data | | | \$0 | \$0 | \$0 | \$0 |
| | Feedback mechanism exists to allow users to alert publishers to issues with their data. | 2A. Publisher guidance and support 2A.2 Ongoing Y6 Q4 DUTF activity, implementation within DUTF workplan | \$0 | \$0 | \$0 | \$0 |
| | | 2B Support to donor harmonisation efforts | \$0 | \$0 | \$0 | \$0 |

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| | | | | | |
|--|--|--|-----------|----------|----------|
| | Challenges of donor harmonization around their mandatory reporting requirements are addressed and overcome. | 2B.1 Attendance to support donor harmonisation or other technical meetings. Estimated 1-2 meetings per year. | \$0 | \$0 | \$0 |
| 3 Promote Systematic Data Use | Facilitation of the Data Use Task Force and Data Use Fund to increase the use of IATI data across the development and humanitarian communities. | 3A. Facilitation of Data Use Task Force | \$155,000 | \$45,000 | \$95,000 |
| | Identification of priority users of data and better understanding of the key needs of these stakeholders to inform future work on data quality, data use, and technical updates. | 3A.1 Data Use Fund replenishment to \$250,000, based on DUTF proposal. Administration of Requests for Proposals | \$135,000 | \$45,000 | \$45,000 |
| | Priority users of data better understand how to access and use IATI data relevant to their specific context. | 3B. Stateholder analysis of priority data users on quality, use and technical upgrades | \$0 | \$0 | \$0 |
| | | 3B.1 Develop short paper outlining key stakeholders and gaps in available data to meet their needs (based on horizon-scanning, review of existing literature, interviews, focus groups as needed). Paper will inform future technical work | \$20,000 | \$0 | \$20,000 |
| 4 Consolidate Technical Core | | 4A. Strategic review of technical infrastructure | \$0 | \$0 | \$0 |
| | | 4B. Maintain and improve IATI technical tools | \$0 | \$0 | \$0 |
| | IATI strengthens links to other relevant data standards and initiatives to overcome shared challenges. | 4B.9 D-portal: Includes ongoing maintenance for current d-portal, as well as scoping user needs, drafting and finalising terms of reference for d-portal v2. | \$0 | \$0 | \$0 |
| 5 Strengthen the IATI Community | | 4B.10 Contribute to joint discussions with other relevant data standards and initiatives to promote interoperability | \$0 | \$0 | \$0 |
| | | 5A. Establish 3.4 SP-Board Working Groups | \$122,000 | \$21,000 | \$0 |

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| | | | | | | |
|--|--|--|-----|----------|----------|-----|
| | Initial WGs are established to support implementation of the 2020 work plan and 2020-2025 Strategic Plan priorities. | 5A.1 Pilot initial WGs once SOP approved by members | \$0 | \$0 | \$0 | \$0 |
| | Establishment of digital infrastructure to support the COPs and other knowledge-sharing needs of the IATI community. | 5B Digital platform available to support COP and knowledge-sharing | \$0 | \$0 | \$0 | \$0 |
| | | 5B.1 Deliver digital platform to support COPs, WGs, and peer-learning / knowledge-sharing. Q1 TOR, Q2 deliver | \$0 | \$80,000 | \$0 | \$0 |
| | | 5B.2 Short-term consultant (6 mo) to support building of digital infrastructure and manage the initial needs of the COPs including migration where applicable. | \$0 | \$21,000 | \$21,000 | \$0 |
| | | 5C Two regional meetings (Africa) on awareness and use of data | | | | |
| | | 5D IATI Annual Community Event | | | | |
| | | 5A. Complete strategy including engagement plans and social media strategy. | | \$10,000 | \$0 | \$0 |
| | Stakeholders at all levels receive targeted, relevant and up to date information on IATI. | 6A.1 Develop Communications and Outreach Strategy, including comprehensive social media plan. Includes targeted engagement plans for different stakeholder groups at political and technical levels. | \$0 | \$0 | \$0 | \$0 |
| | | 6A.2 Develop regular bulletins and newsletters, content on social media and other necessary communications channels. | \$0 | \$0 | \$0 | \$0 |
| | | 6B Website improvements | | | | |
| | | 6B.2 Use existing user journey research and engage information architecture / UX specialist to scope ways to strengthen IATI website design for key stakeholders. Informs website improvement activity in 2021 | \$0 | \$10,000 | \$0 | \$0 |
| | | 6B.3 Review and improve sign-posting information and written content on IATI website (informed by internal mapping of "user journeys"). | \$0 | \$0 | \$0 | \$0 |
| | | 7A.2 Secretariat support and engagement in four pre-identified events supporting Board activity at line above (Central travel budget) | \$0 | \$0 | \$0 | \$0 |
| | | 7A.3 Create and maintain 2020 engagement calendar of relevant external events and actively encourage IATI members and community to attend. | \$0 | \$0 | \$0 | \$0 |

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| | | | | |
|---|--|--|--|-----------|
| Communications and outreach activities embedded across the Secretariat, centralised at UNDP | | | | \$0 |
| 8 Institutional Arrangements | | | | \$104,000 |
| 7A.6 Two in-person meetings of UNDP and DI comms personnel to ensure harmonised approach. (Central travel budget) | | | | \$0 |
| 8A. Board meetings & Secretariat support | | | | \$0 |
| 8A.2 Prepare and conduct Governing Board elections | | | | \$0 |
| 8B. Internal capacity building Secretariat | | | | \$42,000 |
| 8B.3 Consultancy support to finalise methodology for SP Results Framework and establish baselines (links to 8c on monitoring) | | | | \$0 |
| 8B.4 Monitoring of results framework (timing to coincide with Annual Report development from 2021 onwards) | | | | \$0 |
| 8B.5 Long term staffing strategy across the Secretariat, including developer capacity (2019 and Q1 2020) | | | | \$0 |
| 8C. Financial administration and project management | | | | \$2,000 |
| 8C.5 Subscription costs for project management tools | | | | \$60,000 |
| 8D. Secretariat travel | | | | \$421,000 |
| 8D.1 Estimated based on 2019 actual travel costs | | | | |

| | | | | |
|----------------|------------------|-----------------|-----------------|-----------------|
| \$0 | \$0 | \$0 | \$0 | \$0 |
| \$7,600 | \$16,800 | \$15,800 | \$15,800 | \$10,800 |
| \$0 | \$0 | \$0 | \$0 | \$0 |
| \$42,000 | \$0 | \$0 | \$0 | \$0 |
| \$0 | \$0 | \$0 | \$0 | \$0 |
| \$0 | \$0 | \$0 | \$0 | \$0 |
| \$0 | \$0 | \$0 | \$0 | \$0 |
| \$500 | \$500 | \$500 | \$500 | \$500 |
| \$15,000 | \$15,000 | \$15,000 | \$15,000 | \$15,000 |
| \$7,600 | \$181,500 | \$91,500 | \$15,000 | \$80,500 |

| | |
|--|------------------|
| Summary: Activity / workstream | |
| 1. Better country analysis and support | \$30,000 |
| 2. Improve the Quality of ATI Data | \$0 |
| 3. Promote Systematic Data Use | \$165,000 |
| 4. Consolidate Technical Core | \$122,000 |
| 5. Strengthen the ATI Community | \$10,000 |
| 6. Communications | \$10,000 |
| 7. Outreach | \$0 |
| 8. Institutional Arrangements | \$104,000 |
| \$421,000 | |
| Summary: Personnel / workstream | |
| 1. Better country analysis and support | \$79,454 |
| 2. Improve the Quality of ATI Data | \$29,053 |
| 3. Promote Systematic Data Use | \$65,802 |
| 4. Consolidate Technical Core | \$0 |
| 5. Strengthen the ATI Community | \$55,802 |
| 6. Communications | \$57,400 |
| 7. Outreach | \$65,802 |
| 8. Institutional Arrangements | \$152,220 |
| \$615,644 | |
| Total Activities | \$621,000 |
| Total personnel | \$615,644 |
| Total In kind | \$319,924 |
| Total management fee 6% | \$74,924 |

| | | | | |
|------------------|------------------|------------------|------------------|------------------|
| Jan-Mar 2020 | Q1 | Q2 | Q3 | Q4 |
| \$19,866 | \$19,866 | \$19,866 | \$19,866 | \$19,866 |
| \$7,263 | \$7,263 | \$7,263 | \$7,263 | \$7,263 |
| \$16,451 | \$16,451 | \$16,451 | \$16,451 | \$16,451 |
| \$0 | \$0 | \$0 | \$0 | \$0 |
| \$16,451 | \$16,451 | \$16,451 | \$16,451 | \$16,451 |
| \$14,350 | \$14,350 | \$14,350 | \$14,350 | \$14,350 |
| \$16,451 | \$16,451 | \$16,451 | \$16,451 | \$16,451 |
| \$38,055 | \$38,055 | \$38,055 | \$38,055 | \$38,055 |
| \$128,886 | \$128,886 | \$128,886 | \$128,886 | \$128,886 |
| \$57,569 | \$181,500 | \$91,500 | \$91,500 | \$90,000 |
| \$125,886 | \$128,886 | \$128,886 | \$128,886 | \$128,816 |
| \$79,982 | \$79,982 | \$79,982 | \$79,982 | \$79,982 |
| \$14,911 | \$24,831 | \$17,631 | \$17,631 | \$17,551 |

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TOTAL with In-Kind
TOTAL annual budget without In-Kind

\$1,331,396
\$1,011,489

\$281,279
\$201,297

\$415,189
\$328,217

\$917,999
\$238,017

\$316,919
\$238,937

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will promptly advise the Contributing Agency any time when the Recipient Agency is aware that the budget to carry out these Activities is insufficient to fully implement the Activities in the manner set out in the present Agreement, including its Annex(es). The Contributing Agency will have no obligation to provide the Recipient Agency with any funds or to make any reimbursement for expenses incurred in excess of the total budget as set forth herein.

Section D. REPORTING

In addition to the Certified Annual and Certified Final Financial report, the Recipient Agency will provide the Contributing Agency the

- Financial report following the IATI budget structure available as of 31st December 2021.

Section E. CONTRIBUTIONS

Schedule of payment:

| | | |
|--------------------------------|-----|---------|
| May 2014: | USD | 572,656 |
| June 2014: | USD | 9,726 |
| Upon signature of Amendment 2: | USD | 359,129 |
| Upon signature of Amendment 3: | USD | 435,131 |
| Upon signature of Amendment 4: | USD | 247,726 |
| Upon signature of Amendment 5: | USD | 677,838 |
| Upon signature of Amendment 6: | USD | 363,538 |
| Upon signature of Amendment 7: | USD | 869,019 |

Upon signature of Amendment 7 the amount of USD 869,019 will be transferred, as the budget for January 2021-December 2021 is partly funded from roll over the funds from the past periods.

II. Annex B: Schedule of Payments is hereby deleted in its entirety. The schedule of payments will be contained in Section E.

All other terms and conditions of the Agreement, except as amended herein, shall remain unchanged and shall continue in full force and effect. The amendment will enter into effect upon signature by both parties.

IN WITNESS WHEREOF, the Contributing Agency and the Recipient Agency have signed this Amendment:

Signed: 
 Freya von Groote 27/05/2021
 Director, Vienna Multi-Country Office

EH

M

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AUMCO, UNOPS Europe and Central Asia Region, ECR
On behalf of the United Nations Office for Project Services

Signed:

Adriana Dinu

Adriana Dinu
Deputy Assistant Administrator and Deputy Director
Bureau for Policy and Programme Support
On behalf of the United Nations Development Programme

EH

no

**PROPOSAL TO HOST THE INTERNATIONAL AID
TRANSPARENCY INITIATIVE (IATI)
(2013-2015)**

**UNDP, UNOPS, Ghana, Sweden and
Development Initiatives**

February, 2013



*Empowered lives.
Resilient nations.*



BACKGROUND AND SITUATION ANALYSIS

The International Aid Transparency Initiative (IATI) was launched at Accra in September 2008 with the aim of increasing the transparency of aid in order to maximise its effectiveness in reducing poverty. From the outset, the multi-stakeholder nature of the initiative has been reflected in the membership of the IATI Steering Committee and in the composition of the IATI Secretariat, which has been hosted and led by DFID with technical support provided by Development Initiatives (DI) and with partner country outreach led by the United Nations Development Programme (UNDP).

IATI has made good progress under the current leadership and administration. Notable among its many achievements are the expansion of its membership to include 36 donor signatories who together represent 76% of official development flows, endorsement by 22 partner countries, agreement of the [IATI Standard](#), and establishment of the [IATI Registry](#). UN Women recently became the 100th organisation to publish to IATI, and IATI has successfully piloted automated data exchange between donors' IATI data feeds and the national aid management system in the Democratic Republic of Congo.

IATI is playing a key role in delivering on the commitments made at the 4th High Level Forum on Aid Effectiveness in Busan in November 2011 for all endorsers to: *"Implement a common, open standard for electronic publication of timely, comprehensive and forward-looking information on resources provided through development co-operation ..."*

Representatives of IATI, OECD Development Co-operation Directorate-Development Assistance Committee (OECD-DAC), the Working Party on Statistics and the Building Block on Transparency worked together to reach agreement on the details of the Common Standard, and this agreement was endorsed by the final meeting of the Working Party on Aid Effectiveness in July 2012. In support of this agreement, IATI is currently working to assist Busan signatories to complete implementation schedules for the Common Standard. IATI is also promoting efforts to increase the quality, frequency, accessibility and detail of aid information publication, improve partner countries' capacity to use and manage aid information, and widen its membership.

With DFID wishing to stand back from its leadership role in IATI from April 2013, the Steering Committee has endorsed terms of reference for future hosting arrangements and invited proposals from individual organisations and groups wishing to take on the hosting role up to and potentially beyond December 2015.

PROPOSED CONSORTIUM

This proposal comes from a multi-stakeholder consortium that builds on the composition of the IATI Secretariat to date, and reflects IATI's membership and objectives going forward. The proposal has been jointly developed by the United Nations (represented by UNDP and UNOPS), the Government of Ghana, the Government of Sweden, and Development Initiatives, and:

1. Sets out the consortium's shared vision for IATI and the approach adopted to implement this vision;
2. Explains the unique contribution that each organisation will make to implement the consortium's shared vision for IATI;
3. Proposes a plan of action to implement the consortium's shared vision for IATI in line with the Terms of Reference agreed by the IATI Steering Committee;
4. Sets out a proposed performance management framework and budget for 2013-1015 (**Annexes 1 and 2**);
5. Presents the consortium's management structure and risk analysis.

The consortium's proposal represents more than the sum of its constituent parts: it ensures that all of IATI's stakeholders - bilateral and multilateral donors, partner countries and Civil Society Organisations (CSOs) are equal partners in the future management of the initiative and leverage their own contribution to implement a shared vision for IATI under the coordination of the United Nations and its unique normative, political, technical and managerial capacity, as well as its neutrality and universality.

The composition of the consortium ensures continuity with regard to technical leadership and partner country outreach and offers strong political leadership, with a vital link to the [Global Partnership for Effective Development Cooperation](#) (hereafter referred to as Global Partnership), the [UN Development Cooperation Forum](#) (DCF), the post-2015 agenda, and IATI complementary processes such as the Open Government Partnership, and the Open Aid Partnership. The consortium is committed to continue working with the OECD-DAC, particularly with regard to the implementation of the Common Standard and the improvement of the quality and consistency of IATI data. The consortium hopes to explore mechanisms for collaboration with OECD-DAC, which could include:

- A technical team to undertake further work on bringing the three elements of the Common Standard (DAC Creditor Reporting System (CRS), Forward Spending Survey (FSS) and IATI) closer together;
- A panel of experts who advise on routine upgrades to the IATI standard and on its future development;
- Knowledge exchange initiatives with the IATI Technical Advisory Group.

1. CONSORTIUM VISION:

The consortium sees IATI as the primary means by which to increase the effective and accountable use of Official Development Assistance alongside other development resources. IATI has made substantial progress since its launch in 2008, providing a strong foundation for its future activities. This proposal seeks to build upon this progress by consolidating and professionalising the coordination, management and technical support of the initiative, and sets out an ambitious vision for its future. As hosts, the consortium will support the Steering Committee in leading IATI to:

- **Establish IATI as a streamlined component of the aid effectiveness agenda and development cooperation architecture.** Aid transparency requires that a common, open standard for electronic publication of development assistance information is fully operational, reducing duplication of effort between IATI and other initiatives around aid transparency and increasing the impact of aid information. It also requires that development assistance information is linked to partner country budgets, improving the budget planning process of aid recipients as well as the comparability and traceability of aid information throughout the delivery chain;
- **Expand its membership to cover not only all providers of official development finance, but as many actors as possible within the changing aid architecture and throughout the entire aid delivery chain.** Currently IATI signatories are responsible for 76% of official development finance. These donors should deliver on their Busan commitment of full implementation by setting ambitious implementation schedules and publishing information to IATI. New IATI affiliations will target the remaining DAC countries, non-DAC countries, global funds, CSOs and the private sector as members. The Global Partnership and the DCF will continue to provide space for multi-stakeholder discussions on transparency of development cooperation through their symposia, meetings and work on mutual accountability. They will also serve as another forum in which Member States, partner countries and civil society actors can provide feedback on the implementation of IATI and make suggestions on how to further develop the IATI standard based on needs of developing countries and other stakeholders. Through its joint role in the Secretariat of the Global Partnership, UNDP has the capacity to link with other relevant multi-stakeholder forums to increase outreach to potential new members, engage them in IATI discussions and streamline transparency initiatives; and
- **Improve the credibility and usability of IATI data** This will be complemented by efforts to increase the quality, frequency, accessibility and detail of publication, as well as the capacity to collect, analyse and manage this information and to translate development cooperation into better development results on the ground.

To achieve these aims the Secretariat will:

- Assist members in improving the range and quality of the information they publish to IATI, towards the goal of having traceable, transaction level, geocoded data available in as close to real time as possible;
- Foster peer learning among partner countries on publishing aid information, developing aid information management systems, and implementing institutional strengthening initiatives;
- Reach out to non-traditional providers of development cooperation (including CSOs, foundations, private sector actors and providers of South-South Cooperation), testing the feasibility of their publishing data to IATI on a voluntary basis;
- Play a supportive role in increasing the accessibility and use of IATI data by all users especially those in partner countries including through efforts to link IATI data to government budget data;

- Encourage and support where possible the roll out of automated data exchange between IATI publishers and national aid management platforms; Encourage multi-stakeholder discussions on transparency of development cooperation and feedback on the implementation of IATI mainly through the Global Partnership and the Development Cooperation Forum;
- Proactively pursue the spirit and letter of Para 23(c) of the Busan commitments to deliver on a common standard, in close collaboration with both Southern Partners and the OECD-DAC (e.g. ensure that all overlapping fields between the CRS, FSS and IATI are correctly defined and aligned, understanding the specificities of South-South Cooperation (SSC) reporting and whenever possible explore ways to integrate those into the Common Standard).
- Streamline project management of the IATI workplan and budget, and improve the IATI website and communications initiatives including IATI branding to signatories, partner countries and prospective new members.

2. CONSORTIUM ADDED-VALUE

Each member of the consortium brings a unique set of strengths evident through their own adherence to IATI standards and broader efforts to improve them. These complementary efforts reinforce the consortium members' commitment to promote openness and contribute to better aid and development. The diversity of the consortium members and the different skillsets they bring fully cover all the aspects necessary to further advance IATI implementation (data quality and completeness, use of IATI data, new signatories, engaging partner countries, etc).

United Nations: The overall coordination, administration and financial management of the consortium, outreach, and liaison with the Steering Committee and the Technical Advisory Group will be led by UNDP in collaboration with UNOPS. **UNDP**, with its presence in 177 countries brings unparalleled outreach opportunity with all stakeholders and across the UN system. Ensuring improved aid effectiveness is fundamental to UNDP's vision and forms the basis for its work globally. The organisation has continually proven its commitment to transparency and adhered to its IATI implementation schedule, recently launching open.undp.org and publishing over \$5,8 billion in project data. UNDP provides Secretariat support to ensure the effective functioning of the Global Partnership, and through this relationship, has the opportunity to coordinate efforts between IATI and other initiatives around aid transparency to implement the Global Common Standard on Transparency agreed in Busan. UNDP will contribute to hosting based on its experience managing its programme for [Capacity Development on Aid Effectiveness](#), which also serves as its vehicle for OECD/UNDP joint support to the Global Partnership. Playing a key role in the consultations leading to the establishment of the post-2015 agenda, UNDP also sees the opportunity to raise the profile of IATI within that forum. Specifically, UNDP will ensure the consortium is delivering its objectives, coordinate and oversee the administration and financial management of the consortium, lead its outreach and information functions, and provide overall substantive support to IATI Secretariat, TAG and Steering Committee meetings, fundraising efforts, and to the reform of IATI structures. **UNOPS** demonstrated its commitment to IATI by becoming the first UN agency to publish to the standard in October 2011. It has since supported other UN agencies in their

efforts to do so, as well as taking forward the wider transparency agenda, for example in the area of UN procurement. UNOPS brings its experience as the legal host to other multi-stakeholder initiatives as well as providing support to other international secretariats, both inside and outside the UN system. UNOPS has strong skills in efficient and cost-effective project management and in creative fundraising. UNOPS will provide financial management and administrative services within the consortium - including HR, procurement, collecting contributions, disbursing resources to partners and contract management, and ensure the smooth running of all logistical aspects of IATI Secretariat and Steering Committee meetings and other activities, as indicated in the workplan.

Ghana was one of the first partner countries to endorse IATI and has been a vocal member of the IATI Steering Committee and the Partner Country Caucus, frequently representing the views of partner countries to the wider group. Ghana's broader commitment to transparency is clear in the wording of its constitution, its accession to the Africa Peer Review Mechanism and its membership of the Open Government Partnership. Ghana is well placed to galvanise partner countries to engage in the IATI process given its pioneering role in transparent government and its credentials as the host of the Accra HLF-3 as well as the IATI consultative workshop for the West and Central Africa Regions. Ghana will co-lead the consortium's engagement and outreach with partner countries alongside UNDP. It will also contribute political leadership to the reform of IATI structures and ensure that the interests of partner countries are fully represented in the management of the initiative.

Sweden is a founder member of IATI, was one of the first donors to publish to the standard, and was seconded to the IATI Secretariat in the run up to Busan to enhance capacity for political engagement and ensure coordination with the Building Block on Transparency (which it co-chaired with the World Bank). Sweden has also demonstrated its commitment to transparency through the launch of national initiatives such as its aid transparency guarantee and its web-based platform, OpenAid.se, and through its engagement with other global initiatives including the Open Government Partnership, the Open Aid Partnership and the Grand Challenge Making Voices Count. As an acknowledged world leader on transparency, Sweden is well-placed to lead the consortium in its political engagement and outreach to other donors, and to ensure liaison between IATI and other processes such as the Open Government Partnership, the Open Aid Partnership, the OECD-DAC (WP-STAT), the Global Partnership and the Common Standard. It will also provide political leadership to the reform of IATI structures.

Development Initiatives has been a member of the IATI Secretariat and Steering Committee from the outset, responsible for drafting the initial [scoping paper](#) on IATI, helping to shape the [IATI standard](#), with an emphasis on ensuring that the standard meets the needs of stakeholders in partner countries, and managing the development and launch of the [IATI Registry](#). To date, DI has provided technical support to 25 IATI signatories in publishing their aid information to the IATI Registry, was the first CSO to publish to IATI in July 2011 and has since worked with [BOND](#) to support 60 UK CSOs plus 12 other non-signatories to publish to IATI. Going forward, DI's primary role within the consortium will be the continued provision of technical leadership and support, including the technical support necessary for maintaining the IATI standard, and managing support for implementation. DI will also continue to play a role in outreach, with a specific remit to engage with non-traditional donors (NGOs, foundations and the

private sector) whilst supporting other consortium members who lead on other aspects of outreach and engagement.

3. WORKPLAN

The workplan has been developed to ensure each consortium member has primary responsibility for the effective delivery of specific outputs and activities under the overall leadership and coordination of the UN. Each consortium member will be responsible for the detailed planning, coordination and monitoring of corresponding outputs and activities under its leadership. Leadership roles have been assigned based on the experience, capacity and added value of the leading organisation. The leading organisations will be assisted by other consortium members with the capacity to provide technical and operational support in at least one of the subfields, as indicated in the proposed workplan. By assigning leadership and support roles, the consortium aims to increase ownership and improve results delivery in close collaboration with the Steering Committee.

The proposed workplan includes the activities that the consortium has identified as critical to maintain IATI as well as those necessary to further advance aid transparency commitments by 2015, according to the requirements in the TOR and the work carried out by the Secretariat in previous years. The activities and budgets for the first year (April 2013 – April 2014) are clearly indicated in the Annual Workplan and Indicative Budget at **Annex 1**.

The consortium members have been promoting fundraising efforts within their organisations and across their networks. In-kind contribution (e.g. senior management oversight in Sweden, Ghana, UNDP, DI and UNOPS, one UNDP full-staff member, four part-time officers in Sweden, two part-time officers in Ghana; as well as the option of holding SC and TAG meetings at little cost in UN facilities in Copenhagen – otherwise agreed and subject to funding availability) has already been secured by consortium members, totaling the amount of US\$455,071 (UK£288,515). Consortium members commit to continue fundraising based on a clear strategy to be developed and implemented by UNDP and UNOPS (ref activities 4.B1 and 4.B.2.), under the guidance of the Steering Committee and in the event this proposal is accepted.

Consortium members cannot commit these resources nor accept liability for the non-implementation of any of the activities in the workplan without an agreed solution by the Steering Committee on the question of sustainable funding prior to the end of the proposed transition period (April 2013), and the availability of a viable opening balance for the subsequent six months, to ensure continuity of work.

1 –Technical functions in support of the standard

Lead Organisation: Development Initiatives

Support:

- UNDP (substantive support to partner countries in utilising and implementing IATI, reviewing the IATI standard, and collaborating in the open Common Standard);

- Sweden (political engagement of donor countries in country pilots and the review of IATI standard);
- Ghana (political engagement of developing countries in country pilots and the review of IATI standard);
- UNOPS (administrative and financial management).

Output 1.A–Maintain the IATI Registry and Data Store

The IATI Registry is a hyperlinked index of all data files published to the IATI standard. It records a summary (metadata) of the data that publishers maintain on their own web sites. The IATI Data Store is a central repository in which all activities are stored and which allows for the data to be queried as a single dataset.

Target: Registry - 250 organisations publish IATI data in the registry by 2015

Baseline: 100 organisations publish IATI data in the registry as of January 2013

Indicator: Number of organisations publishing IATI data in the registry

Target: Data Store - 500 queries per month in the data store

Baseline: Absence of queries in the data store. Data store under construction.

Indicator: Number of queries in the data store per month

- Activity 1.A.1 Develop the data store to ensure that all IATI data is easily queryable and accessible to all users in user-friendly formats;
- Activity 1.A.2 Hosting and maintenance of the servers/s on which the registry and data store are housed.

Output 1.B–Monitor the implementation of the IATI standard, including reporting on progress in publishing to the standard, particularly with regards to the timeliness, comprehensiveness and accuracy of the data

Target: Data Quality – 80% of published activities are validated against the IATI schema

Baseline: 50% of published activities are validated against the IATI schema as of January 2013

Indicator: Percentage of published activities validating against the IATI schema

Target: Data Quality – monthly publication of compliance statistics

Baseline: Publication of compliant data is annual

Indicator: Publication of compliance statistics

- Activity 1.B.1 Oversight and review of compliance and data quality rules;
- Activity 1.B.2 Development and maintenance of data quality tools, automated notifications and reports;
- Activity 1.B.3 Support and monitor open licensing of data to ensure that publishers do not place undue restrictions on the re-use of their data;

- Activity 1.B.4 Preparation of technical section of annual report and updates at intervals required by the Steering Committee. The technical section will draw on donor self-reporting and development country monitoring, as well as on donor implementation schedules. It will also propose an action plan to address issues they raise;
- Activity 1.B.5 Maintain the knowledge base on support.iatistandard.org.

Output 1.C– Maintain standard and modify as necessary, following the approval process agreed by IATI members

IATI is a living standard and needs to be responsive to developments in the global aid architecture, the aid and development effectiveness agendas and the resource flows covered.

Target: *Where updates to the IATI standard are required, they are packaged and carried out in a timetabled, quarterly release schedule*

Baseline: *IATI Standard is updated on an ad hoc basis*

Indicator: *Frequency of updates*

- Activity 1.C.1 Ongoing engagement with all actual and potential stakeholders on the general scope and content of the standard. This involves consultation with current and prospective IATI members on the frequency of data publication, additional categories of information and membership, capturing, inter alia, humanitarian aid, south-south cooperation, and relevant private flows. These ongoing consultations will result, where necessary, in a maximum of 1 integer (major) and 3 decimal (minor) upgrades each year;
- Activity 1.C.2 Ensure that the standard is well documented with guidance that is accessible to all potential publishers and users
- Activity 1.C.3 Cooperate closely with the DAC to ensure that, in future development of the common standard, the IATI standard is and remains, where necessary, precisely aligned to the CRS and FSS.
- Activity 1.C.4 Proactively lead, or collaborate with other global standards bodies, on technical initiatives to improve the accuracy and effectiveness of the standard. This includes:
 - work on a global system to uniquely identify all organisations and institutions;
 - the traceability of financial flows down the delivery chain;
 - sub-national geocoding
 - information on humanitarian resource flows that meets real-time operational needs;
 - accurate and collaborative reporting of core and pool funding

Output 1.D– Ensure the effective use of IATI data in partner countries

Target: *Five countries utilize automatic data exchange by 2015*

Baseline: *Only one country utilizes automatic data exchange as of January 2013*

Indicator: *Number of countries utilizing IATI automatic data exchange*

- Activity 1.D.1 Complete the proof of concept of automatic data exchange between donors and Aid Information Management Systems (AIMS) in the DRC and two other pilot countries;
- Activity 1.D.2 In conjunction with providers of AIMS, develop documentation and other support materials and mechanisms to facilitate the uptake of automatic data exchange;
- Activity 1.D.3 Provide remote support to partner countries wishing to employ automatic data exchange;
- Activity 1.D.4 Explore and encourage initiatives to integrate aid information directly into the budget planning cycle;
- Activity 1.D.5 Explore and encourage initiatives to make IATI data more accessible to line ministries, parliament and civil society.

Output 1.E - Explore (in collaboration with UNDP) the potential application of the IATI standard to other resource flows such as south-south cooperation and climate finance

Target: SC and TAG clear on the options for integrating resource flows beyond official development flows

Baseline: IATI standard captures a proportion of official development flows plus information from NGOs and private foundations

Indicator: Proposal to integrate other resource flows reporting to IATI submitted to SC and TAG

- Activity 1.E.1 Strategic assessment of the accounting and reporting methodologies used by selected Southern countries to track South-South Cooperation aid information;
- Activity 1.E.2 Six regional workshops to present selected methodologies used to track South-South Cooperation aid information and discuss how IATI standards can be tailored to incorporate such information. These workshops will precede the consultation meetings outlined in output 3.C (Years 2 and 3).

Output 1.F – Work with the DAC Working Party on Development Finance Statistics (WP-STAT) and the DAC Secretariat to build convergence towards a consolidated Common Open Standard, agreed post-Busan, including development and monitoring of indicator 4 in the Global Partnership monitoring framework.

Targets: Progressive convergence towards a single common open standard, with all Busan endorsers completing common standard implementation schedules outlining their plans to fully implement this standard by the end of 2015, plus agreement on an easy-to-monitor transparency indicator within the Global Partnership framework that is based on implementation of the Common Standard

Baseline: 38 common standard implementation schedules received as of Jan 2013, transparency indicator yet to be agreed

Indicator: *Number of countries completing common standard implementation schedules and implementing the Common Standard plus agreement on the transparency indicator and monitoring of it under the Global Partnership*

- Activity 1.F.1 Led by UNDP, ensure that negotiations towards a common standard meet both the letter and spirit of the Busan declaration:
- Activity 1.F.2 Work closely with OECD-DAC to ensure that the IATI standard is fully compatible and compliant with all definitions and elements contained within the CRS and FSS:
Activity 1.F.3 Ensure that the common standard meets the needs and is fit-for-purpose for all stakeholders as defined at Busan.

Output 1.G –Manage the IATI Technical Advisory Group (TAG)

The IATI TAG is an open forum for the IATI community and the primary channel of ongoing communication and consultation between the Secretariat and the technical experts responsible for the publication and use of data.

Target: *100% increase in newsletter new sign-ups by 2015*

Baseline: *100 organisations receive a newsletter on an ad-hoc basis*

Indicator: *New membership of technical mailing list*

- Activity 1.G.1 Maintain and update wiki.iatistandard.org and the technical mailing list;
- Activity 1.G.2 Prepare a monthly newsletter;
- Activity 1.G.3 Encourage and curate discussions and consultations leading to improvements in the structure and usage of the IATI standard;
Activity 1.G.4 Organise one annual TAG workshop for up to 100 participants.

2 – Technical support to implementing organisations

Lead Organisation: Development Initiatives

Support:

- UNDP (substantive support to current and prospective members in reviewing, implementing and adopting IATI); UNOPS (administrative and financial management)
- Sweden (political engagement of current and prospective members in donor countries in reviewing, implementing and adopting IATI),
- Ghana (political engagement to current and prospective members in developing countries in reviewing, implementing and adopting IATI).

All members of the consortium will play a role in ensuring that the level of ambition in implementation schedules is high, that members are held accountable to their commitments, that data quality standards are maintained, and that feedback is provided on the usability of data.

Output 2.A– Provide ongoing support to current members implementing the IATI standard.

***Target:** 50 IATI members (biggest by number of published activities) contacted to improve data quality by 2015*

***Baseline:** 20 IATI members contacted to improve data quality as of January 2013*

***Indicator:** Members contacted directly to improve data quality*

- Activity 2.A.1 Provide support to existing publishers on request: typically to improve the quality and coverage of their content.

Output 2.B– Provide ongoing support for signatories and other IATI publishers

***Target:** 90% of official development flows is represented by IATI members by 2015*

***Baseline:** 76% of official development flows is represented by IATI members as of January 2013*

***Indicator:** Percentage of official development flows represented by IATI members*

***Target:** 15 new members are assisted per month by the end of 2013*

***Baseline:** 10 new members are assisted per month*

***Indicator:** Number of new members assisted per month*

***Target:** 250 support queries are handled through the ticketing system per year*

***Baseline:** 130 support queries are handled through the ticketing system per year*

***Indicator:** Number of support queries handled through the ticketing system per year*

- Activity 2.B.1 Ongoing technical and operational advice/support to publishers and users to ensure that all data published to the IATI standard is correctly and efficiently indexed and that the metadata that the Registry maintains to classify and describe the published data is kept up to date. Improve the functionality of IATI registry to improve its ease of use for publishers and accessibility for users of data;
- Activity 2.B.2 Ensure guidance, support tools and knowledge sharing mechanisms are accessible and improved over time.

3 – Outreach/Information

Lead Organisation: UNDP

Support:

- Development Initiatives (technical support to hosting, maintenance and update of IATI websites, and substantive support to outreach to prospective members),
- Ghana and Sweden (support to the identification of and engagement with countries willing to run pilots and other types of engagements with prospective members)

Output 3.A– Host, maintain and update websites

Target: 20% increase in the number of visits to the IATI website by 2015

Baseline: 3,000-4,000 visits per month as of January 2013

Indicator: Number of visits per month

- Activity 3.A.1 Ongoing hosting, maintenance and update of IATI websites, ensuring regular news updates and timely publication of papers for all IATI meetings.

Output 3.B– Provide information to potential new donor members (official and non-official) and to civil society organisations

Target: At least 40 donors that have not yet signed IATI, including remaining DAC donors, non-DAC donors, global funds, CSOs and the private sector engage in discussions on IATI standards including reporting South-South cooperation information by 2015.

Baseline: 36 IATI signatories (as of January 2013) and 107 organisations have published to the IATI registry

Indicator: Number of new signatories and publishers from each category above

- Activity 3.B.1 Develop and implement an outreach strategy to expand IATI’s membership, including remaining DAC donors, CSOs, foundations, private sector and providers of SSC – mainly in LAC and Asia, where engagement has been traditionally low;
- Activity 3.B.2 Develop and implement a communication plan to support new and prospective members;
- Activity 3.B.3 Production and dissemination of regular newsletter and social media material to current and prospective members; regular consultation with other consortium’s members and relevant stakeholders on content development for the websites and for dissemination strategies;
- Activity 3.B.4 Production and dissemination of an induction pack including policy and technical papers containing substantive information to prospective members.

Output 3.C – Undertake partner country outreach (involving partner countries in country pilots, support participation in meetings, develop guidance)

Target: An increase of at least 40% in the number of partner country endorsers by 2015

Baseline: 22 partner country endorsers as of January 2013

Indicator: Number of partner country endorsers

- Activity 3.C.1 Outreach strategy targeting specific regions where IATI does not have a large number of partner countries (e.g. Latin America and Asia);
- Activity 3.C.2 Identification of outreach opportunities **(Planned in Year 1)**, negotiation and design of 4 Country Pilots, in addition to the engagement with AIMS outlined in output 1.D **(Planned in years 2 and 3)**;
- Activity 3.C.3 Organise partner country participation in PC/SC and TAG;
- Activity 3.C.4 Two regional consultation meetings per year back-to-back with major Global Partnership, DCF and other transparency initiatives, to facilitate dialogue with prospective members concerning the adoption of IATI standards. These meetings will follow the regional workshops outlined in output 1.E.2;
- Activity 3.C.5 Six regional training, technical support, process analysis, and knowledge-sharing workshops to support developing countries on how to use IATI standards at the country level. This includes the development of aid information management systems and other institutional strengthening activities to stimulate countries to exchange knowledge among each other **(1 workshop planned in Year 1)**.

Output 3.D—Provide information on the Common Open Standard, in collaboration with the OECD-DAC Secretariat.

Target: IATI signatories and new members understand the “what”, the “why” and the “how to” implement the Common Standard

Baseline: Entities reporting on IATI (and/or other standards) and are not aware of the Common Standard or do not understand what they will need to adjust when it becomes operational

Indicator: Requests for (Common Standard) implementation support

- Activity 3.D.1 Preparation of policy and technical papers and guidance on the Common Standard.
- Activity 3.D.2. Dissemination of information on the Common Standard considering the different needs of (at least) the following three types of audience: i) IATI signatories reporting their data and therefore will need to migrate to the Common Standard; ii) IATI signatories that have not yet published their data; iii) new IATI members.

4 –Reform of IATI Structures

Lead Organisation: Ghana and Sweden

Support: UNDP, Development Initiatives

Output 4.A - Implement new governance and institutional arrangements, as agreed by the Steering Committee in 2013

Target: *The IATI Secretariat implements new governance arrangements in time for the next meeting of the IATI Steering Committee*

Baseline: *Dependent on Steering Committee decision*

Indicator: *Document outlining IATI Secretariat new governance mechanism agreed and implemented*

- Activity 4.A.1 Implementation of a new governance and institutional framework for IATI (to be agreed by the Steering Committee in 2013). This includes the preparation of guidance documents, communication of the new mechanisms to IATI stakeholders, and other related activities.

Output 4.B - Implement a new sustainable funding mechanism for IATI (to be agreed by the Steering Committee in 2013) which will include raising and managing financial contributions from members and for services provided to others.

Target: *The IATI Secretariat implements new funding mechanism in time for the next meeting of the IATI Steering Committee*

Baseline: *Dependent on Steering Committee decision*

Indicator: *Document outlining IATI Secretariat new funding mechanism agreed and implemented*

- Activity 4.B.1 Develop a fundraising strategy to raise the additional funds required to implement IATI strategic growth work plan;
- Activity 4.B.2 Implementation of a new sustainable funding mechanism for IATI, (to be agreed by the Steering Committee in 2013).

5-Steering Committee support function.

Lead Organisations: UNDP and UNOPS

Support:

- Development Initiatives (technical support to the development of the annual report and IATI data);
- Ghana (political support to the dissemination of the annual reports and engagement with developing countries in preparation for IATI meetings); and
- Sweden (political support to the dissemination of the annual reports and engagement with donor countries in preparation for IATI meetings).

Output 5.A - Support to the Steering Committee and the Technical Advisory Group, prepare and manage three Steering Committee meetings a year and TAG meetings as necessary, backing meetings

onto other relevant events in the international calendar where possible; drafting papers and proposals for decisions; analysis and dissemination of IATI data. Financial management.

- Activity 5.A.1 Annual Report presented end of Q1 each year reporting on data, implementation overview and financing (UNDP);
- Activity 5.A.2 Analysis and dissemination of IATI data to the Steering Committee through three reports on budgets and results per year (UNDP);
- Activity 5.A.3 Overall coordination of the consortium and liaison with the Steering Committee and the Technical Advisory Group (UNDP);
- Activity 5.A.4 Evaluation plan of the IATI standard by the end of 2013. The evaluation will be conducted following the schedule to be agreed with the Steering Committee (UNDP);
- Activity 5.A.5 Substantive support to at least two Steering Committee meetings, one meeting of all members and one TAG workshop per year (UNDP);
- Activity 5.A.6 Logistical arrangements for two reduced and one full Steering Committee meetings, and one TAG workshop per year (UNOPS);
- Activity 5.A.7 Yearly work plan with budget, taking into consideration the funding arrangements to be agreed by the Steering Committee in 2013 (UNDP);
- Activity 5.A.8 General administration and financial management (UNOPS).

4. PERFORMANCE MANAGEMENT FRAMEWORK

UNDP has experience managing similar programmes (e.g. the Global Programme on Capacity Building for Aid Effectiveness) and will work with UNOPS to establish robust mechanisms for project management and harmonized donor reporting. The evaluation plan of the IATI standard will be completed and presented to the Steering Committee by the end of 2013. The evaluation plan will be based upon the targets, baselines and indicators shown in the proposal and summarized in **Annex 2**. UNDP will monitor programme results during the whole project implementation phase with technical and administrative support from DI and UNOPS. All targets are subject to funding, membership and other decisions yet to be made by the Steering Committee, and may be adjusted as guidance is received.

5. MANAGEMENT ARRANGEMENTS AND RISK ANALYSIS

The Secretariat will function as a project board with UNDP acting as its chair. The overall coordination of the IATI Secretariat and its interaction with the Steering Committee and the Technical Advisory Group will be led by UNDP including, among its other functions: i) provision of substantive guidance, coordination and reporting; ii) liaison with / provision of substantive support to the Steering Committee and the Technical Advisory Group; iii) overseeing programme administration and financial management iii) engaging in resource mobilization for the joint programme, with UNOPS administrative support and Development Initiatives technical support.

The consortium will operate through its host institutions, each of which will have its own leading and support functions in delivering the programme outputs. Staff will remain located with their host organisations. The Secretariat will meet virtually as necessary and at least every two weeks to monitor programme implementation. This arrangement has been successfully adopted by the current hosting partners and has been used successfully in all meetings of the consortium so far. UNDP will nominate a focal point to liaise with the Steering Committee and the Technical Advisory Group on behalf of the consortium through regular informal consultations.

UNDP will lead the development of the annual workplan and submit it to the Steering Committee for approval. UNOPS will act as the administrative agent, responsible for i) entering into agreement with donors; ii) collecting contributions; iii) disbursing resources to Secretariat partners in accordance with the workplan and UNDP Programme Manager approval. UNOPS will also be responsible for supporting resource mobilization, reconciling expenses, consolidating the financial statements and reports; administrative, HR, procurement and logistical support to programme activities as indicated in the workplan. IATI websites will be the central repository for all programme information, and will be updated regularly.

The proposed governance and financial mechanism above has been carefully analysed to reflect what each partner can best offer while ensuring timely and cost effective programme delivery. UNDP and UNOPS share common joint-programming guidelines and frameworks as members of the United Nations Development Group (UNDG).

| Risk | Probability | Impact | Management |
|--|--------------------|---------------|--|
| Program does not deliver results | Low | High | Consortium members have been identified and selected based on their commitment to transparency, experience, outreach and added value. The diversity of the consortium members and the different skillsets they bring fully cover all the aspects necessary to further advance IATI implementation (data quality and completeness, new signatories, engaging with partner countries, etc). Consortium members have also been assigned specific roles to ensure activities are carefully designed, implemented and monitored and that all targets are met. The overall coordination of the consortium will be done by organisations with the political leadership and fundraising/administrative capacity to manage a global initiative with this profile. |
| Developing countries do not engage in an initiative from | High | Medium | Consortium members (mainly UNDP, UNOPS and Ghana) have excellent relationships with developing countries and will have a leading role in advocating IATI to these countries, encouraging their membership and ensuring |

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| the “North” | | | their needs are adequately represented in IATI and the Common Standard. |
| Funding is insufficient to deliver all the outputs | Medium | High | In kind co-funding from the members of the consortium and other partners in their network totaling US\$455,071 (UK£288,515) has been secured. Steering Committee decision on sustainable funding prior to the end of the proposed transition period (April 2013), and the availability of an opening balance for the subsequent six months are critical to ensuring work continuity. |
| IATI does not gain enough traction and ODA is not fully reported by 2015 | Medium | High | A communications and outreach strategy has been proposed in the workplan to increase IATI advocacy to current and potential new members, particularly those in Latin America and Asia where IATI engagement is low. The consortium will also explore mechanisms to work closely with the OECD-DAC, and promote efforts to link IATI to DCF and Global Partnership processes to increase buy-in to IATI principles. |
| ODA becomes irrelevant face to non-ODA assistance | Medium | High | Outreach to non-DAC countries and dialogue on methodologies to capture non-ODA assistance have been considered throughout the workplan and included as a priority item. |
| IATI fails to improve data quality | Medium | Medium | <p>The consortium is committed to continue working with the OECD-DAC, particularly with regard to the implementation of the Common Standard and the improvement of the quality and consistency of IATI data. The consortium hopes to explore mechanisms for collaboration with OECD-DAC, which could include:</p> <ul style="list-style-type: none"> • A technical team to undertake further work on bringing the three elements of the Common Standard (DAC Creditor Reporting System (CRS), Forward Spending Survey (FSS) and IATI) closer together; • A panel of experts who advise on routine upgrades to the IATI standard and on its future development; • Knowledge exchange initiatives with the IATI Technical Advisory Group. <p>The consortium has been having conversations with OECD-DAC at both senior and working levels and hopes to agree upon a positive, collaborative work agenda.</p> |

Annex 1 – Annual workplan and indicative budget year 1 (April 2013 – April 2014)

The attached spreadsheet contains an indicative, light-touch workplan and budget for the first year of the new IATI Secretariat (April 2013 - April 2014), as well as the leading organisation in both output and activity levels. Required funding for year 1 is US\$2,208,498 (UK£1,400,188). Funding for Year 2 and 3 is expected to be similar to that proposed for the first year of the program. Some activities have been annualized to ensure delivery within a one year timeframe, and therefore may not exactly reflect the activities listed in the proposal (e.g. activities 3.C.2 and 3.C.5).

Consortium members are aware of the challenges posed to IATI going forward (e.g. improving the quality of data, harmonization with other standards, implementation of the Common Standard, engaging with new members) and the need for having the right capacity to deliver its ambitious workplan. The consortium has budgeted for all staff capacity needed to deliver the policy, programme, operations, monitoring & evaluation and logistics aspects of the workplan in a timely and effective manner. The core programme staff comprises 7 professional-level individuals within the 5 organizing partners. This staffing structure is closely comparable to the staff structure and levels working behind the scenes of IATI in previous years, with a proposed increase of professional capacity in those areas identified by the consortium as requiring additional focus as IATI continues to expand, such as communication, coordination and administration. Senior management strategic guidance and a robust governance mechanism (ref section 5) complement the proposed staff structure.

The consortium members have been promoting fundraising efforts within their organisations and across their networks. In-kind contributions (e.g. senior management oversight in both UNDP and UNOPS, venue and other facilities for TAG meetings) has already been secured by consortium members, amounting to US\$455,071 (UK£288,515), as indicated in the workplan. This effort emphasises the consortium commitment to deliver IATI objectives based on value for money. Consortium members commit to continue fundraising based on a clear strategy led by UNDP and UNOPS, under the guidance of the Steering Committee and in the event this proposal is accepted.

Annex 2 – Performance Management Framework

The evaluation plan of the IATI standard will be completed and presented to the Steering Committee by the end of 2013 and will be based upon the targets, baselines and indicators in the workplan and summarized below. All targets are subject to funding, membership and other decisions yet to be made by the Steering Committee, and may be adjusted as guidance is received.

| Output | Indicator, Baseline and Target |
|---|--|
| Output 1.A–Maintain the IATI Registry and Data Store | <p>Target: Registry - 250 organisations publish IATI data in the registry by 2015 Baseline: 100 organisations publish IATI data in the registry as of January 2013 Indicator: Number of organisations publishing IATI data in the registry</p> <p>Target: Data Store - 500 queries per month in the data store Baseline: Absence of queries in the data store. Data store under construction. Indicator: Number of queries in the data store per month</p> |
| Output 1.B–Monitor the implementation of the IATI standard, including reporting on progress in publishing to the standard, particularly with regards to the timeliness, comprehensiveness and accuracy of the data | <p>Target: Data Quality – 80% of published activities are validated against the IATI schema Baseline: 50% of published activities are validated against the IATI schema as of January 2013 Indicator: Percentage of published activities validating against the IATI schema</p> <p>Target: Data Quality – monthly publication of compliance statistics Baseline: Publication of compliant data is annual Indicator: Publication of compliance statistics</p> |
| Output 1.C– Maintain standard and modify as necessary, following the approval process agreed by IATI members | <p>Target: Where updates to the IATI standard are required, they are packaged and carried out in a timetabled, quarterly release schedule Baseline: IATI Standard is updated on an ad hoc basis Indicator: Frequency of updates</p> |
| Output 1.D– Ensure the effective use of IATI data in partner countries | <p>Target: Five countries utilize automatic data exchange by 2015 Baseline: Only one country utilizes automatic data exchange as of January 2013 Indicator: Number of countries utilizing IATI automatic data exchange</p> |
| Output 1.E - Explore (in collaboration with the UNDP) the potential application of the standard to other resource flows such as south-south cooperation and climate finance | <p>Target: SC and TAG clear on the options for integrating resource flows other than ODA Baseline: IATI standard captures ODA flow information only Indicator: Proposal to integrate other resource flows reporting to IATI submitted to SC and TAG</p> |
| Output 1.F – Work with the DAC Working Party on Development Finance Statistics (WP-STAT) and the | <p>Targets: Progressive convergence towards a single common open standard, with all Busan endorsers</p> |

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| <p>DAC Secretariat to build convergence towards a consolidated common open standard, agreed post-Busan, including development and monitoring of indicator 4 in the Global Partnership monitoring framework.</p> | <p><i>completing common standard implementation schedules outlining their plans to fully implement this standard by the end of 2015, plus agreement on an easy-to-monitor transparency indicator within the Global Partnership framework that is based on implementation of the Common Standard</i></p> <p>Baseline: 38 common standard implementation schedules received as of Jan 2013, transparency indicator yet to be agreed</p> <p>Indicator: Number of countries completing common standard implementation schedules and implementing the Common Standard plus agreement on the transparency indicator and monitoring of it under the Global Partnership</p> |
| <p>Output 1.G –Manage the IATI Technical Advisory Group</p> | <p>Target: 100% increase in newsletter new sign-ups by 2015</p> <p>Baseline: 100 organisations receive a newsletter on an ad-hoc basis</p> <p>Indicator: New membership of technical mailing list</p> |
| <p>Output 2.A– Provide ongoing support to current members implementing the IATI standard.</p> | <p>Target: 50 IATI members (biggest by number of published activities) contacted to improve data quality by 2015</p> <p>Baseline: 20 IATI members contacted to improve data quality as of January 2013</p> <p>Indicator: Members contacted directly to improve data quality</p> |
| <p>Output 2.B– Provide ongoing support for new signatories and other IATI publishers</p> | <p>Target: 90% of official development flows is represented by IATI members by 2015</p> <p>Baseline: 76% of official development flows is represented by IATI members as of January 2013</p> <p>Indicator: Percentage of official development flows are represented by IATI members</p> <p>Target: 15 new members are assisted per monthly the end of 2013</p> <p>Baseline: 10 new members are assisted per month</p> <p>Indicator: Number of new members assisted per month</p> <p>Target: 250 support queries are handled through the ticketing system per year</p> <p>Baseline: 130 support queries are handled through the ticketing system per year</p> <p>Indicator: Number of support queries handled through the ticketing system per year</p> |
| <p>Output 3.A– Host, maintain and update websites</p> | <p>Target: 20% increase in the number of visits to the IATI website by 2015</p> <p>Baseline: 3,000-4,000 visits per month as of January 2013</p> <p>Indicator: Number of visits per month</p> |
| <p>Output 3.B– Provide information to potential new donor members (official and non-official) and to civil society organisations</p> | <p>Target: At least 40 donors that have not yet signed IATI, including remaining DAC donors, non-DAC donors, global funds, CSOs and the private sector engage in</p> |

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| | <p>discussions on IATI standards including reporting South-South cooperation information by 2015.</p> <p>Baseline: 36 IATI signatories (as of January 2013) and 107 organisations have published to the IATI registry</p> <p>Indicator: Number of new signatories and publishers from each category above</p> |
| <p>Output 3.C– Undertake partner country outreach (involving partner countries in country pilots, support participation in meetings, develop guidance)</p> | <p>Target: An increase of at least 40% in the number of partner country endorsers by 2015</p> <p>Baseline: 22 partner country endorsers as of January 2013</p> <p>Indicator: Number of partner country endorsers</p> |
| <p>Output 3.D–Provide information on the Common Open Standard, in collaboration with the OECD-DAC Secretariat.</p> | <p>Target: IATI signatories and new members understand the “what”, the “why” and the “how to” implement the Common Standard</p> <p>Baseline: Entities reporting on IATI (and/or other standards) and are not aware of the Common Standard or do not understand what they will need to adjust when it becomes operational</p> <p>Indicator: Requests for (common standard) implementation support</p> |
| <p>Output 4.A - Implement new governance and institutional arrangements, as agreed by the Steering Committee in 2013</p> | <p>Target: The IATI Secretariat implements new governance arrangements in time for the next meeting of the IATI Steering Committee</p> <p>Baseline: Dependent on Steering Committee decision</p> <p>Indicator: Document outlining IATI Secretariat new governance mechanism agreed and implemented</p> |
| <p>Output 4.B Implement a new sustainable funding mechanism for IATI (to be agreed by the Steering Committee in 2013) which will include raising and managing financial contributions from members and for services provided to others.</p> | <p>Target: The IATI Secretariat implements new funding mechanism in time for the next meeting of the IATI Steering Committee</p> <p>Baseline: Dependent on Steering Committee decision</p> <p>Indicator: Document outlining IATI Secretariat new funding mechanism agreed and implemented</p> |

Annex 3 – Technical Evaluation Criteria

| Criteria | Sub-Criteria | Where referenced in proposal |
|---|--|---|
| Quality of Personnel (20) | Quality of organisation / consortium based on evidence in proposal of management and organisational skills, evidence of working in virtual teams (if consortium) | Consortium Added Value: Pages 5-6 Performance Management Framework: Page 15 and Annex 2 Management arrangement and risk analysis: Pages 16-17 |
| | Structure and organisation of the team to deliver clearly articulated. Systems and structures in place to manage the contract and ensure delivery. | Management arrangement and risk analysis: Pages 16-17 |
| | Proven networks and contacts with key IATI stakeholder groups (donors, partner countries, CSOs, international organisations) | Consortium Added Value: Pages 5-6 |
| Organisational experience of similar work, and track record, and quality assurance (50) | Evidence of commitment to the values of accountability and transparency, and evidence of sharing IATI's core mandate and objectives | Consortium Added Value: Pages 5-6 |
| | Experience / capacity to undertake work in technical areas | Vision: Pages 3- 4 Consortium Added Value: Pages 5-6 Workplan: Pages 6-15 and Annex 1 |
| | Experience of international multi-stakeholder management, and understanding of political processes | Consortium Added Value: Pages 5-6 |
| | Evidence of providing outreach and influencing others | Consortium Added Value: Pages 5-6 |
| | Proven capacity to manage and deliver work plans and services | Consortium Added Value: Pages 5-6 Management arrangement and risk analysis: Pages 16-17 |
| | Administrative capacity and experience of providing secretariat services; track record in raising and managing funds; and project management | Consortium Added Value: Pages 5-6 Management arrangement and risk analysis: Pages 16-17 |
| | | Consortium Added Value: Pages 5-6 |
| Methodology, quote specific emphasis including use of local inputs (30) | Adhere to TORs, clear rationale | Vision: Pages 3- 4 Consortium Added Value: Pages 5-6 Workplan: Pages 6-15 and Annex 1 |
| | Work plan outlining activities, budget, days input, outputs, timescales, monitoring and | Workplan: Pages 6-15 and Annex 1 |

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| | evaluation etc | |
| | Clear articulation of methodology and approach to deliver key deliverables with links to the scope of the work | Vision: Pages 3- 4 Workplan: Pages 6-15 and Annex 1 |
| | Inclusion of performance management criteria and methods of evaluation | Workplan: Pages 7-15 Performance management Framework: 15 and Annex 2 |
| | Clear value for money approach | Workplan: Pages 6-15 and Annex 1 Management arrangement and risk analysis: Pages 16-17 |
| | Ability to accommodate IATI's governance in candidate's own legal framework | Management arrangement and risk analysis: Pages 16-17 |
| | Secretariat total and unit costs | Workplan: Pages 6-15 and Annex 1 |

United Nations Development Programme



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New York, 25 January 2019

Proposal to extend consortium hosting arrangement to December 31st, 2022

To the Chair and members of the IATI Governing Board,

On behalf of the consortium led by UNDP together with Development Initiatives and UNOPS, we wish to **formally confirm the extension of hosting arrangements** for the period from September 2019 to December 2022. This extension period will provide continuity during a three-year period to implement recommendations resulting from the 2018 Institutional Review as endorsed by members at the 2018 Members' Assembly and support implementation of the Strategic Plan 2019-22, currently under preparation.

Several of the measures foreseen among those recommendations are already on track; the Board has approved a **transition proposal for the Secretariat** which sets out short, medium- and long-term actions to improve its performance. These actions will streamline the work of the Secretariat, clarify lines of responsibility and improve accountability.

The Secretariat has established a schedule for **quarterly planning and quality assurance** among partners including meeting face to face twice annually for joint review and planning sessions, and has strengthened its financial monitoring and reporting procedures so it can provide clear and timely strategic financial management advice to the Board. This is supported by a new **visual dashboard** developed by UNDP that offers transparency of our progress for members.

All **technical functions will be brought under a single technical lead** at Development Initiatives by March 2019. A **technical audit** was carried out which resulted in a prioritized technical roadmap outlining key deliverables. With these measures, together with quarterly reviews of progress with Board focal points, accountability of technical delivery to the Board has improved.

Communications work currently remains at Development Initiatives; as an interim measure, matrix management arrangements are in place while a strategy is being developed to increase capacity and bring the function within UNDP. UNDP continues to lead work on **data use as well as**

outreach, taking on additional engagement tasks that had previously been carried out by Sida when Sweden was still a member of the Secretariat.

A broad and consultative **strategic planning process** has been outlined together with a roadmap for concluding the plan for endorsement by members at the 2019 Members' Assembly. The process will take into account the findings of the technical audit in setting out strategic priorities on policy and technical fronts over the next 3-5 years.

As the Strategic Plan is finalized, UNDP will discuss with the Board measures to ensure appropriate Secretariat leadership for its implementation.

The Secretariat will continue to work closely in delivering policy advice to the Board and members and take all necessary steps to improve efficiency, delivery, accountability and transparency in implementing IATI's strategy and workplan.

On behalf of the IATI Secretariat,



Abdoulaye Mar Dieye
UN Assistant Secretary-General
Assistant Administrator and Director
Bureau for Policy and Programme Support

